



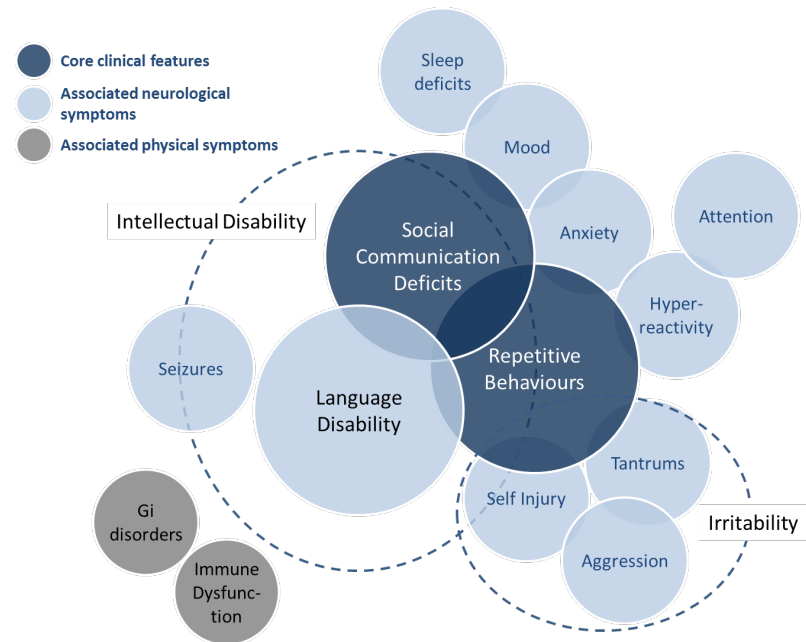
# Why do we need biomarkers for Autism Spectrum Disorders?

Eva Loth

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Institute of Psychiatry, Psychology and Neuroscience,  
King's College London

SARG Re-launch, 27 February 2015

- 1 in 88 children and adults is affected
- Diagnosis and treatment solely rely on clinical observation, not cause or pathophysiology
- No medical treatments that significantly improve core symptoms;
- Which treatment works for which child/ person?



- **Understanding of pathophysiological mechanisms is poor, due to:**
  - **Phenotypic heterogeneity**
    - Symptom quality and severity varies between individuals
    - 2/3 of individuals with ASD have 1+ comorbidities (Simonoff et al., 2008)
  - **Genetic heterogeneity**
    - Several hundred ASD risk genes identified;
    - Together account for 10-20% of cases, individually for <2% (Betancur, 2011)
  - **Etiological heterogeneity**
    - Different “autisms” or one/ few final common pathway(s)?
  - **Lack of biomarkers**
    - For patient selection in clinical trials
    - To estimate treatment response
-

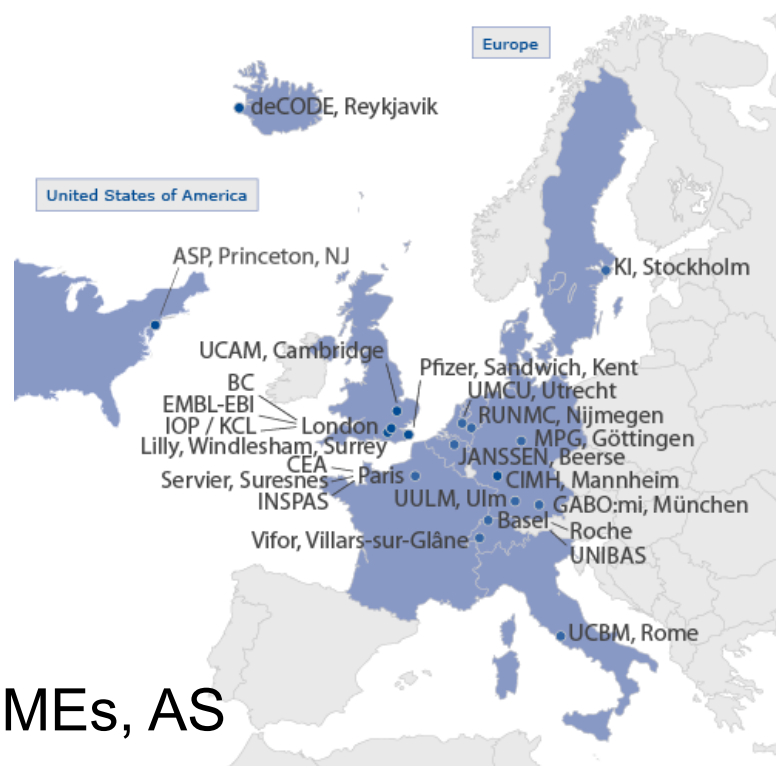
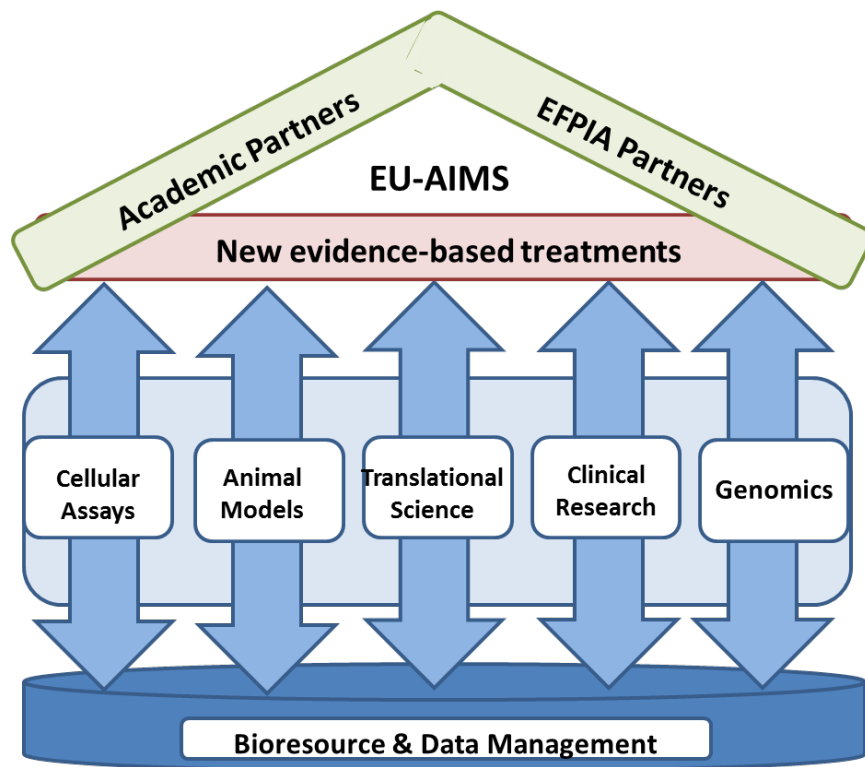
# Overview

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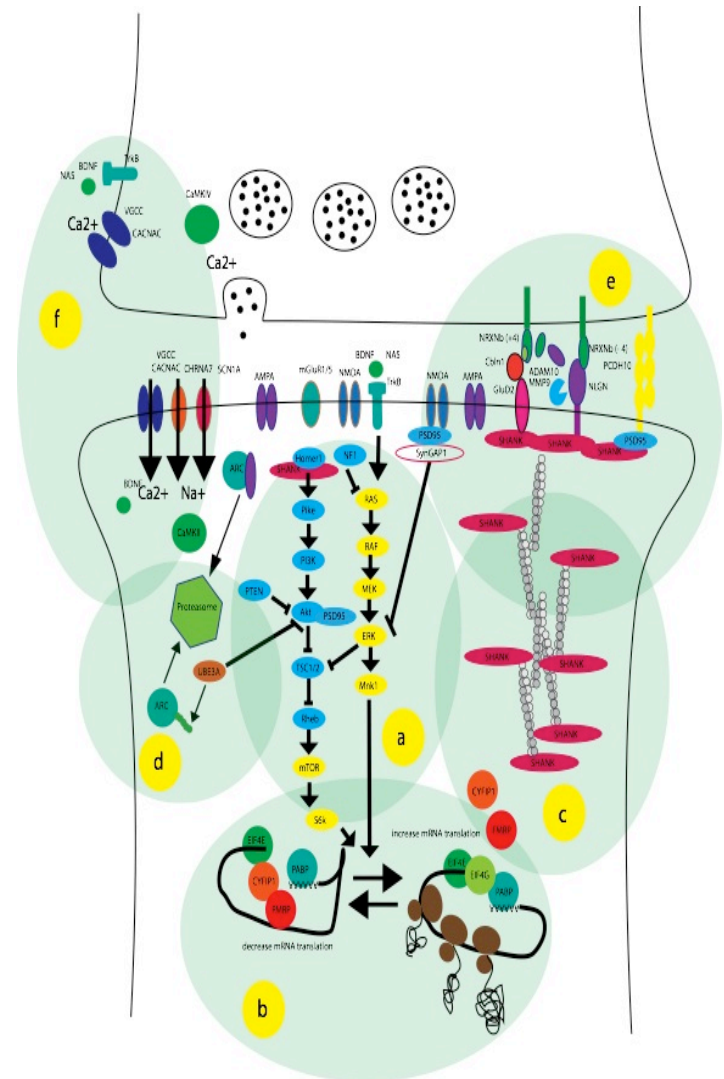
- 1. New approaches to identifying etiology-based treatment targets**
  - 2. Biomarker approaches for**
    1. (early) diagnosis
    2. Patient stratification
    3. Prognosis
  - 3. Qualification advice from an international regulator: the European Medicines Agency**
-

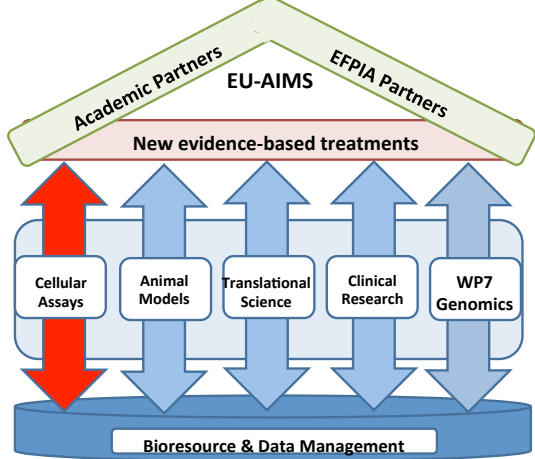
# Five integrated programmes



14 academic partners, 5 EFPIA, 3 SMEs, AS

- Monogenic forms of ASD provide new window into a mechanistic understanding of ASD-symptoms
  - Genes involved in regulating synapse structure and function (SHANK3, CNTNAP2, NRXN1, NLGN3/4X)
  - Genes involved in transcriptional/translational control (TSC1/2, MECP2, NF1, PTEN)
- Different risk genes converge on a limited number of molecular pathways (Voineagu et al., 2011) affecting synaptic homeostasis (Bourgeron, 2009)
- **Hypothesis:** Defects of Synaptogenesis affect excitatory-inhibitory balance.

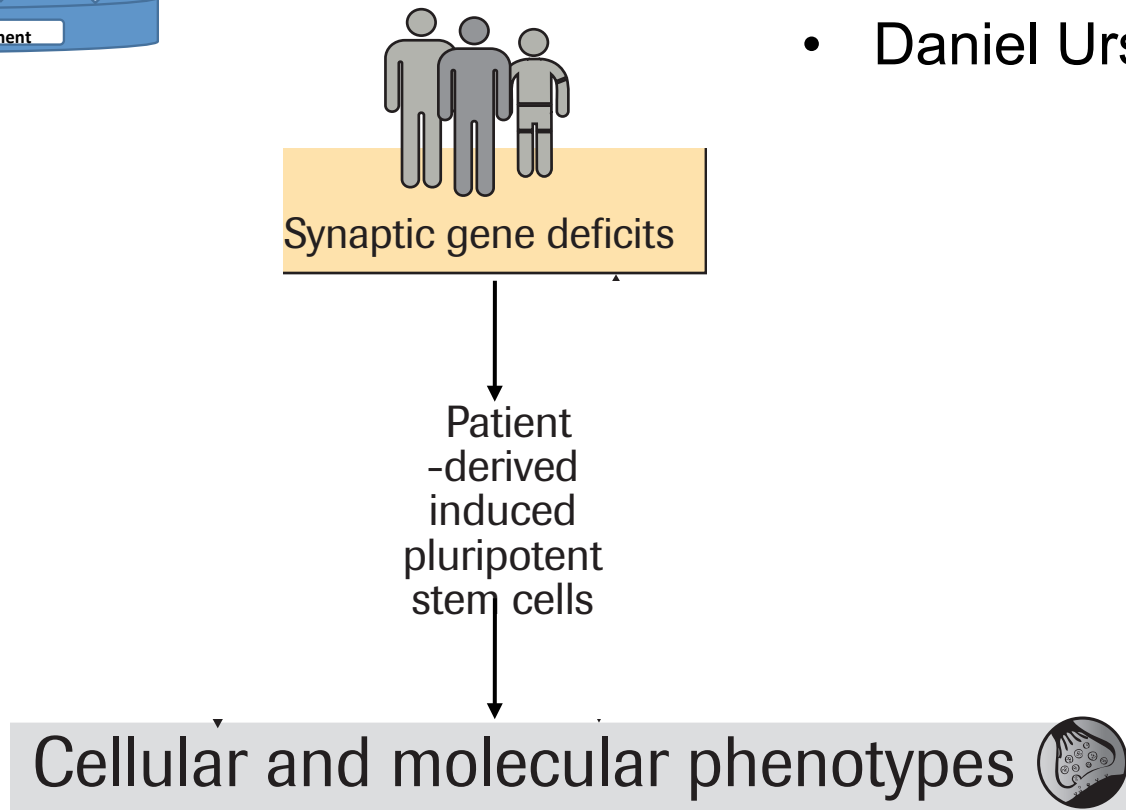




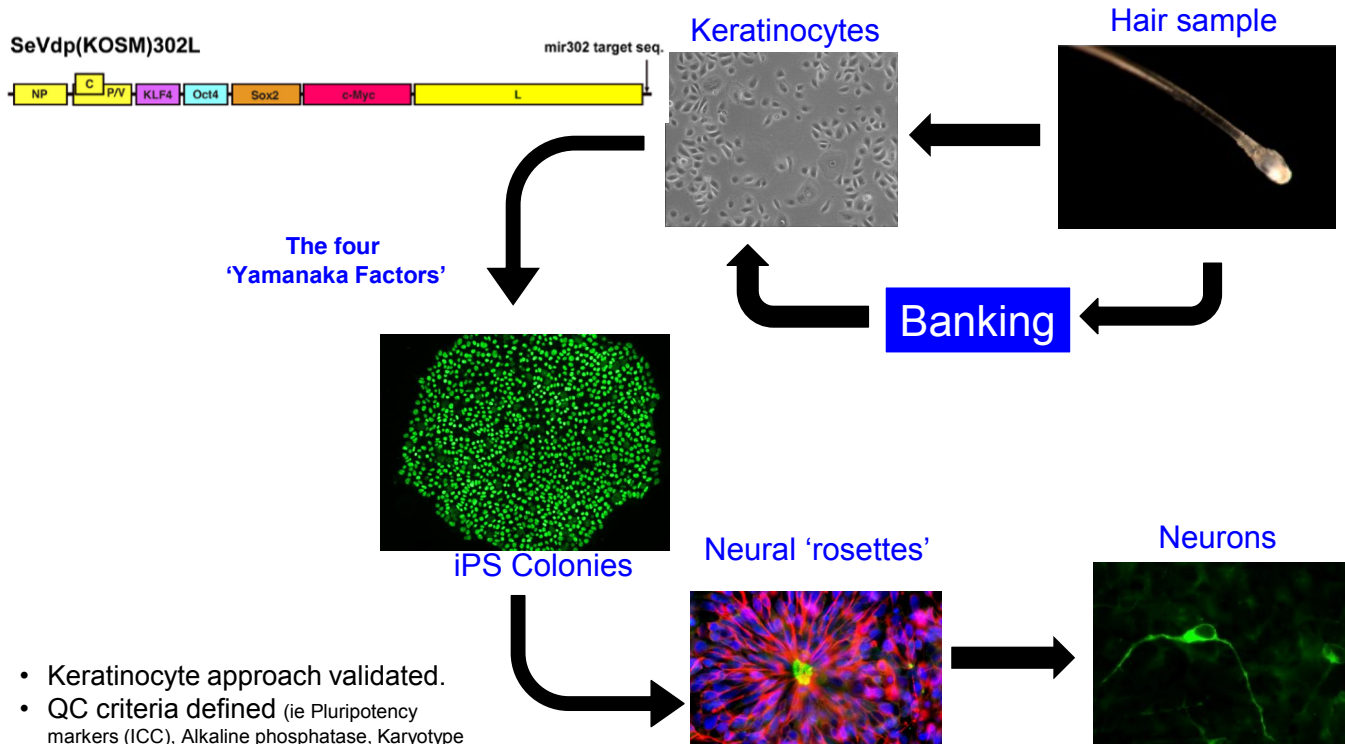
# Cellular Assays

## Lead

- Jack Price (KCL)
- Daniel Ursu (Lilly)



# Goal: Generate ASD patient-specific iPSCs

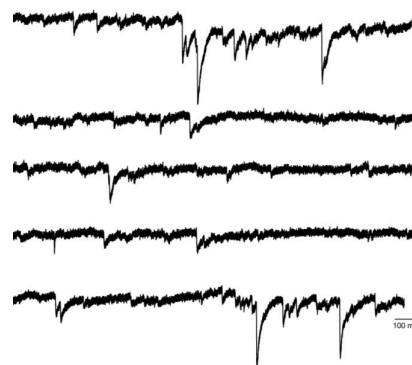
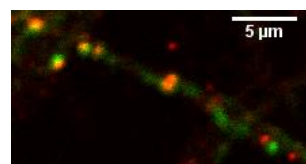
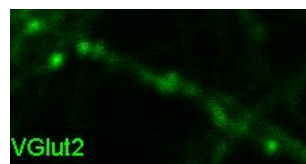
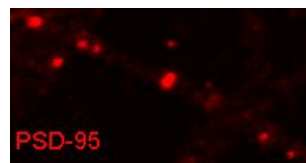


- Developed protocol to freeze hair biopsies (Price)
- Developed and validated robust differentiation protocol



# Physiological properties of iPSC-derived neurons

## From Spines to Synaptic Proteins and Excitatory Synaptic Currents

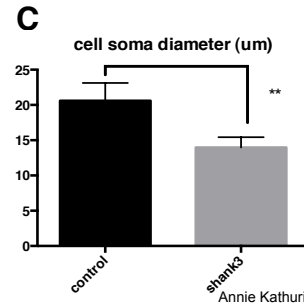
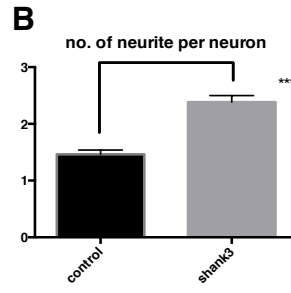
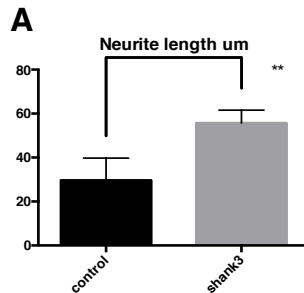
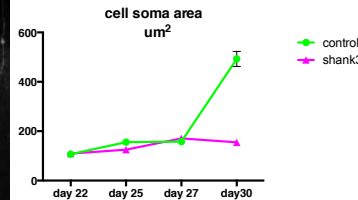
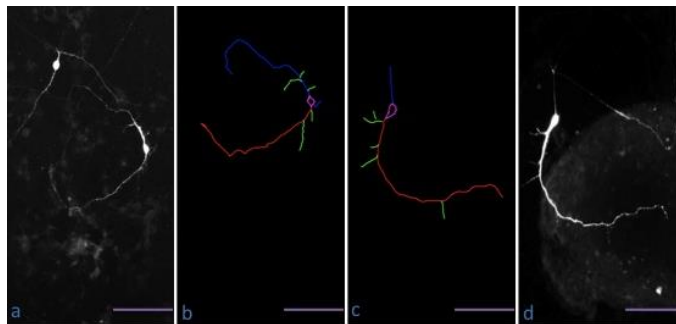


Percentage of Patched Cells with Excitatory Synaptic Currents

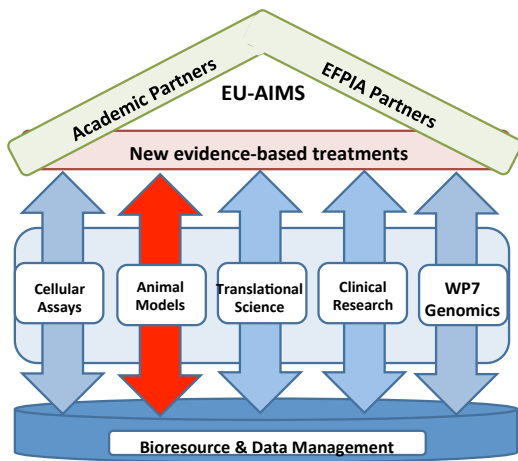
| Week <i>in vitro</i> | Control cells |
|----------------------|---------------|
| 7                    | 57%           |
| 8                    | 89%           |

- Demonstrate basic properties of excitatory and inhibitory synaptic currents in control lines (Andrae, KCL)
- Evaluate mechanisms of synaptic plasticity, in particular long-term depression and long-term potentiation (Bischofberger, Uni Basel)

# Identify cellular phenotypes linked to ASD and specific CNVs



- **Preliminary findings:** Neurons from SHANK3 patients show abnormalities in terms of neuronal size and morphology (Jack Price, KCL)
- Characterisation of ion channels and glutamatergic/ GABA-ergic receptors: significant increase in AMPA response, indicating increased excitatory pathways (Ursu, Lilly)

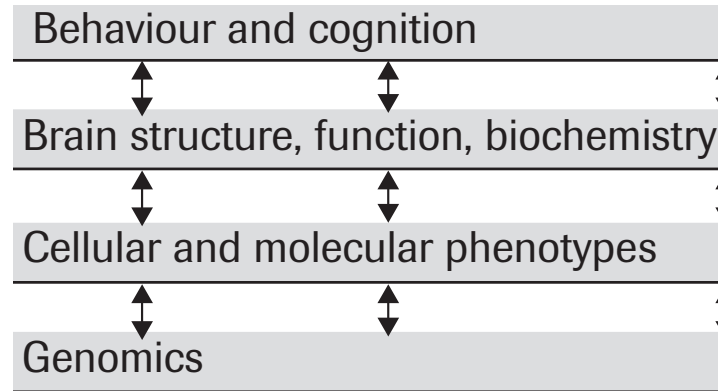
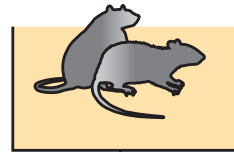


# Animal Models

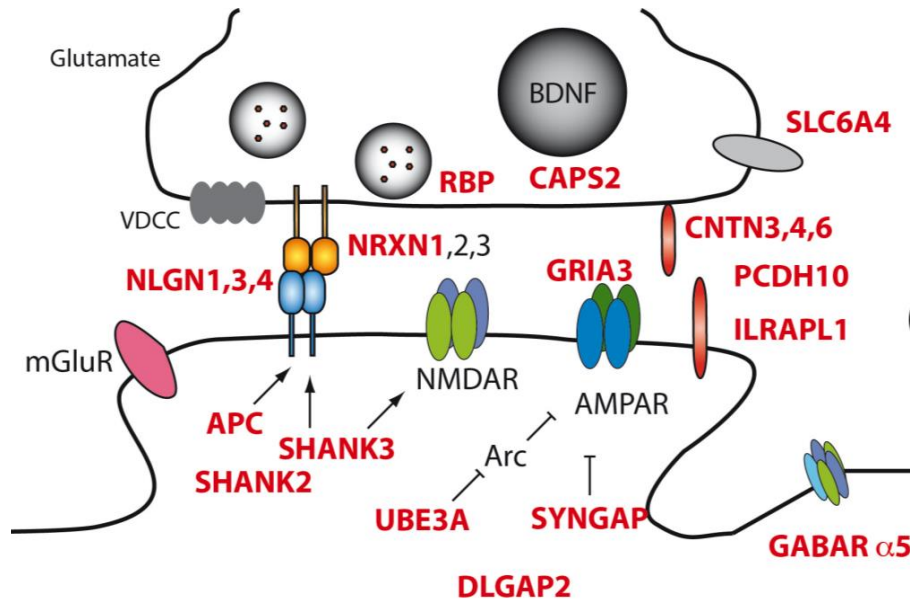
## Lead

- Peter Scheiffele (University of Basel)
- Thomas Steckler (J&J)

Animal Models



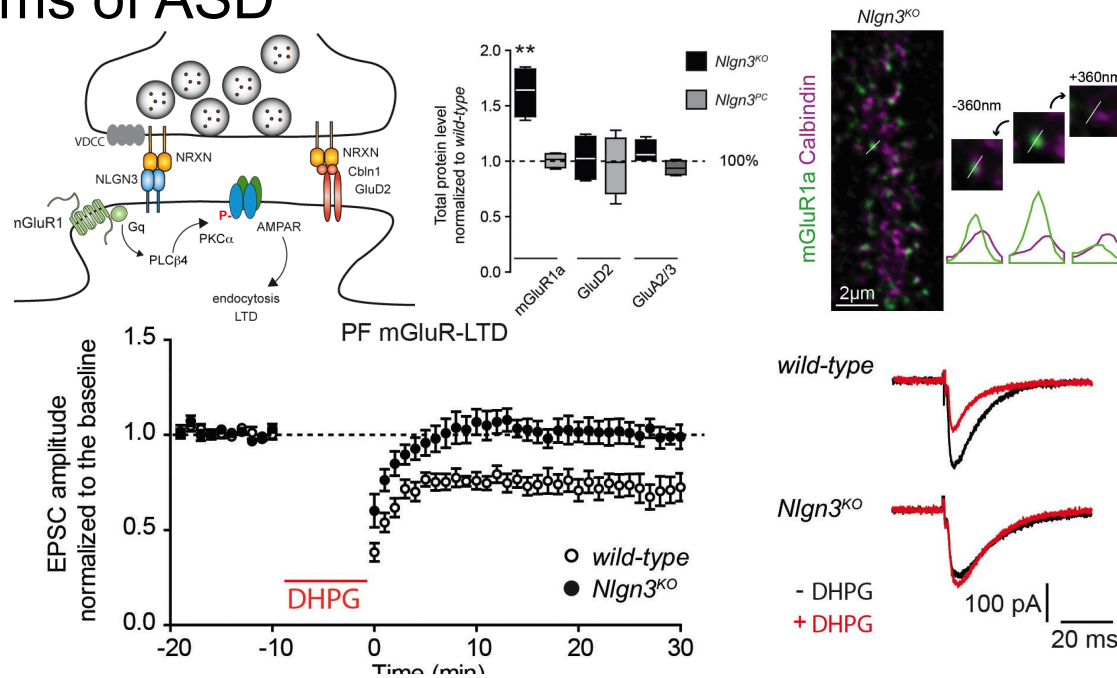
New treatment targets



- **Neuroligins:** post-synaptic cell adhesion molecules (CAMs) at GABAergic and glutamatergic synapses
- Reversible Nlgn3 KO

# Nlgn3KO mice: cellular, morphological, electrophysiological phenotypes

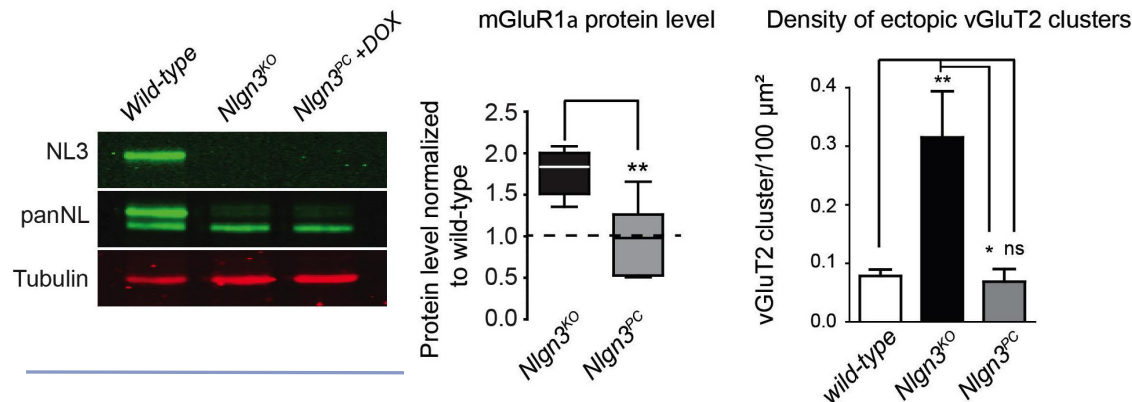
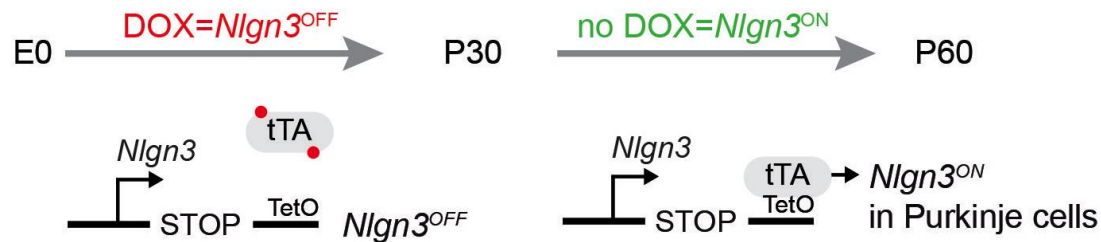
- Loss of NL3 from parallel fibre synapses results in increased mGluR1 expression,
- mGluR LTD at parallel fibre synapses is occluded
- Neurons in cerebellum form abnormal synaptic connections
- Reminiscent of pathophysiology in Fragile X (convergence of potential drug targets between syndromic/ non-syndromic forms of ASD)



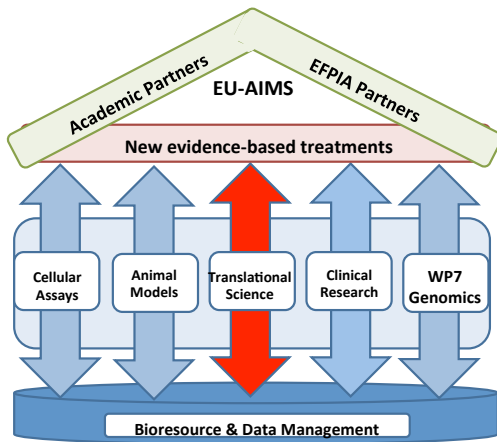
# Genetic rescue in adulthood

- Gene was switched on after mice reached adulthood
  - mGluR1 expression and ectopic synapse formation could be reversed
  - Some behavioural deficits normalised
  - Potential of symptom amelioration after completion of development

*Nlgn3<sup>PC</sup>: Nlgn3<sup>STOP-tetO</sup>::Pcp2<sup>tTA</sup>*

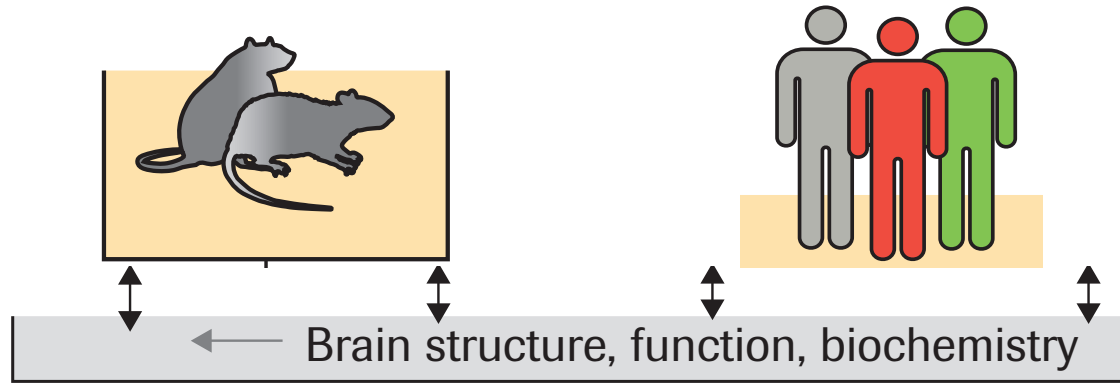


# Translational Imaging

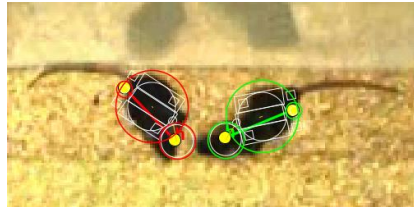
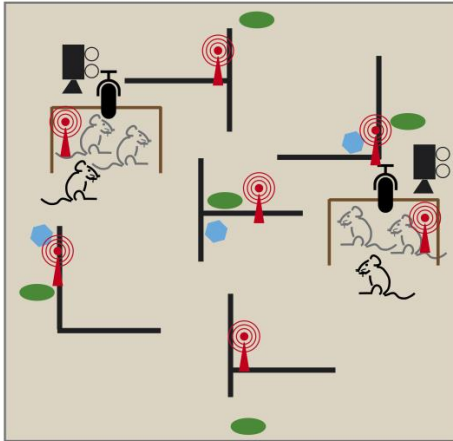


## Lead

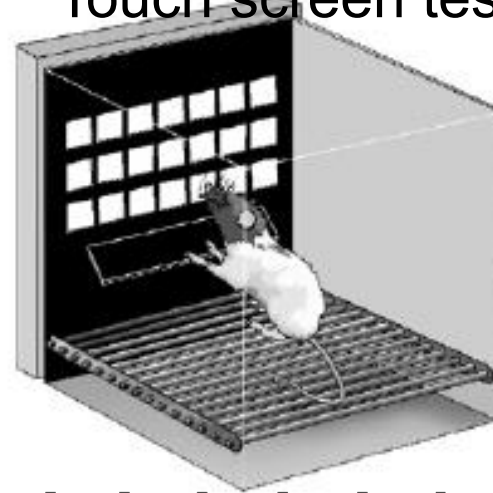
- Andreas Meyer-Lindenberg (CIMH, Germany)
- Gahan Pandina (J&J)



## “Mouse city” (Pasteur)



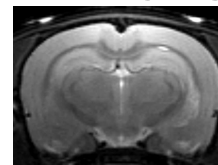
## Touch screen tests (J&J)



## Integrated behaviour-EEG analyses (UMCU)

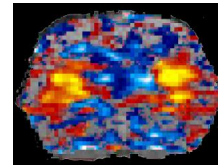


## Translational imaging



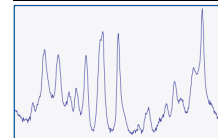
Anatomy

} **Structural MRI**



Neural activity  
Brain circuitry  
Connectivity

} **Functional MRI**



Neurotransmitters  
Metabolites

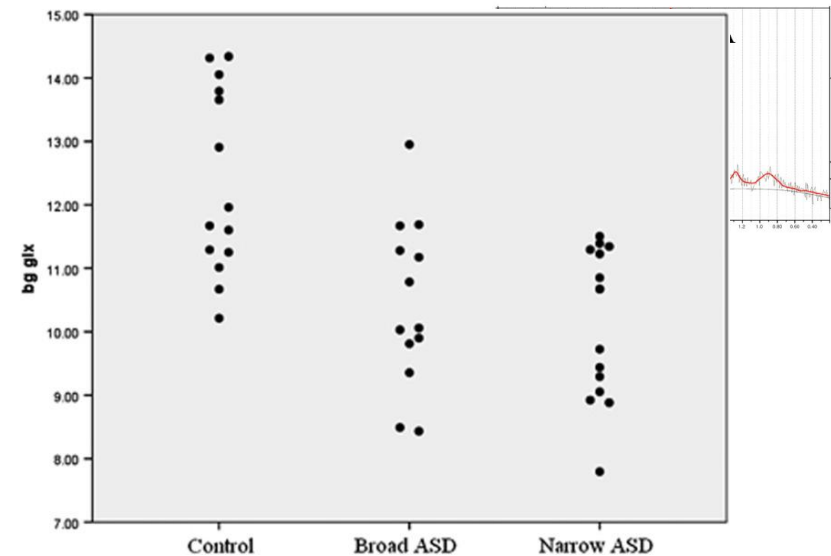
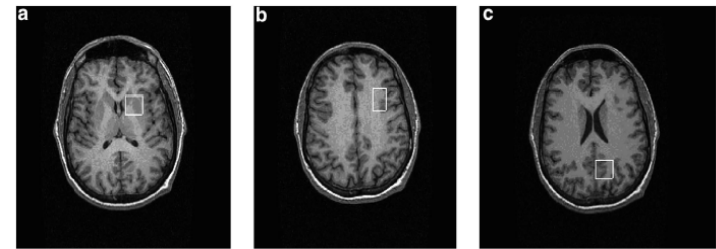
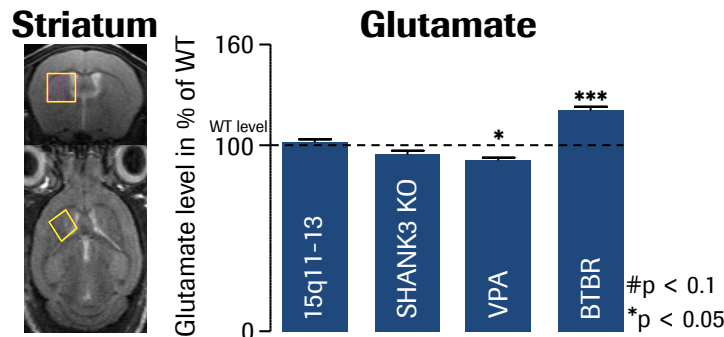
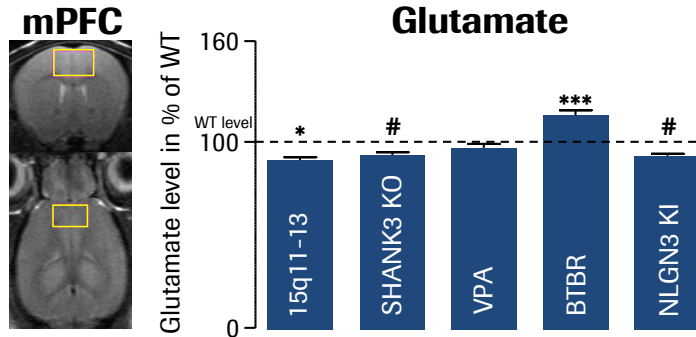
} **MR spectroscopy**

Chemical shift [ppm]



# Proof-of-concept for E/I imbalance in animal models and patients

## L Basal Ganglia



- ASD=28 , Controls =14
- ASD: Reduced Glx in Basal Ganglia

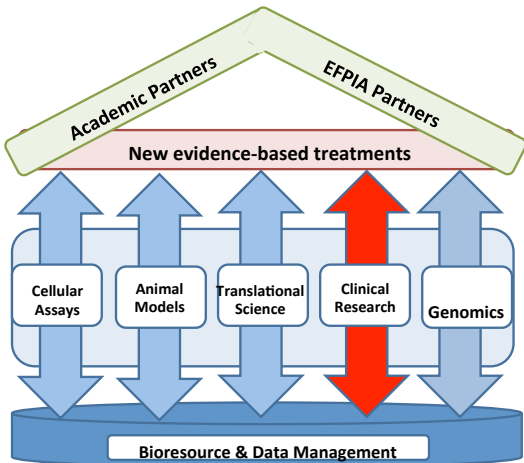


- Clinical and etiological heterogeneity:  
If/ when new treatment targets are found.....Difficult to test treatment efficacy as some/ most treatments may only be effective in certain biological subgroups
-

- No validated universal or specific biomarkers for ASD
  - Most (single-site) studies include **small** and **heterogeneous** samples -> often failure to replicate
  - Limited **power** to stratify groups and identify more biologically homogeneous subgroups
  - Use of different (often not standardized) experimental measures
  - Need of outcome measures sensitive to change
  - Many academics have limited experience with translational applications: Experiments are not planned to be used in clinical trials or to gain regulatory approval for new treatments
-

- **Objective measure of a quantifiable process**
  - **Risk/ diagnostic biomarker:** predict which child will develop ASD, detect ASD as early as possible
  - **Stratification biomarker:** group patients into biologically (more homogeneous) subtypes
  - **Prognostic biomarker:** predict the progression of symptoms and 'outcome' in adulthood
  - **Predictive biomarker:** Estimates/ predicts an individual's response to a treatment
  - **Biomarker as surrogate outcome measure:** predicts clinical outcome and can therefore be used as a substitute for a clinical end-point
-

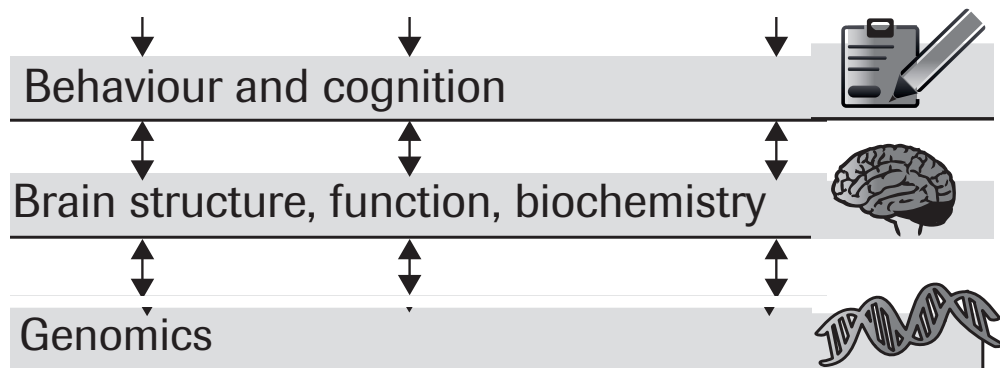
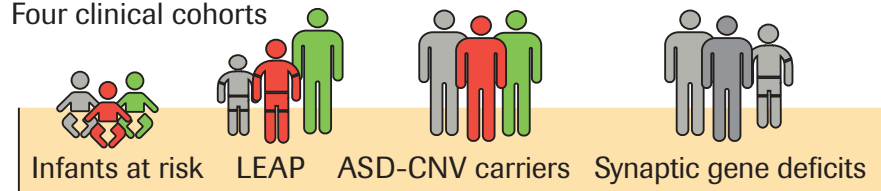
# Clinical Research



## Lead

- Jan Buitelaar (Radboud University Medical Centre Nijmegen)
- Eva Loth (KCL)
- Lauren Boak (Roche)

## Four clinical cohorts

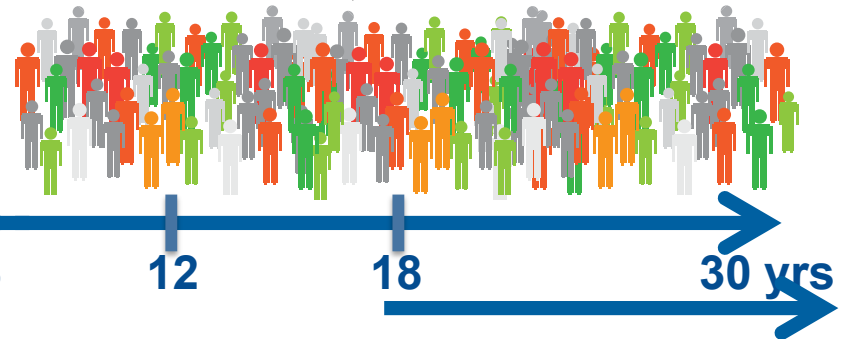


# Four unique patient cohorts

**High-risk siblings**  
HR=300, LR=100

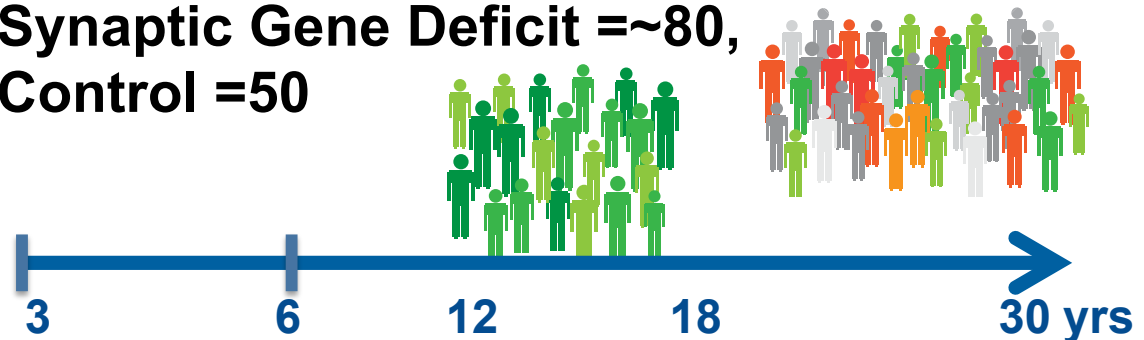


**LEAP**  
ASD = 500, Control = 350

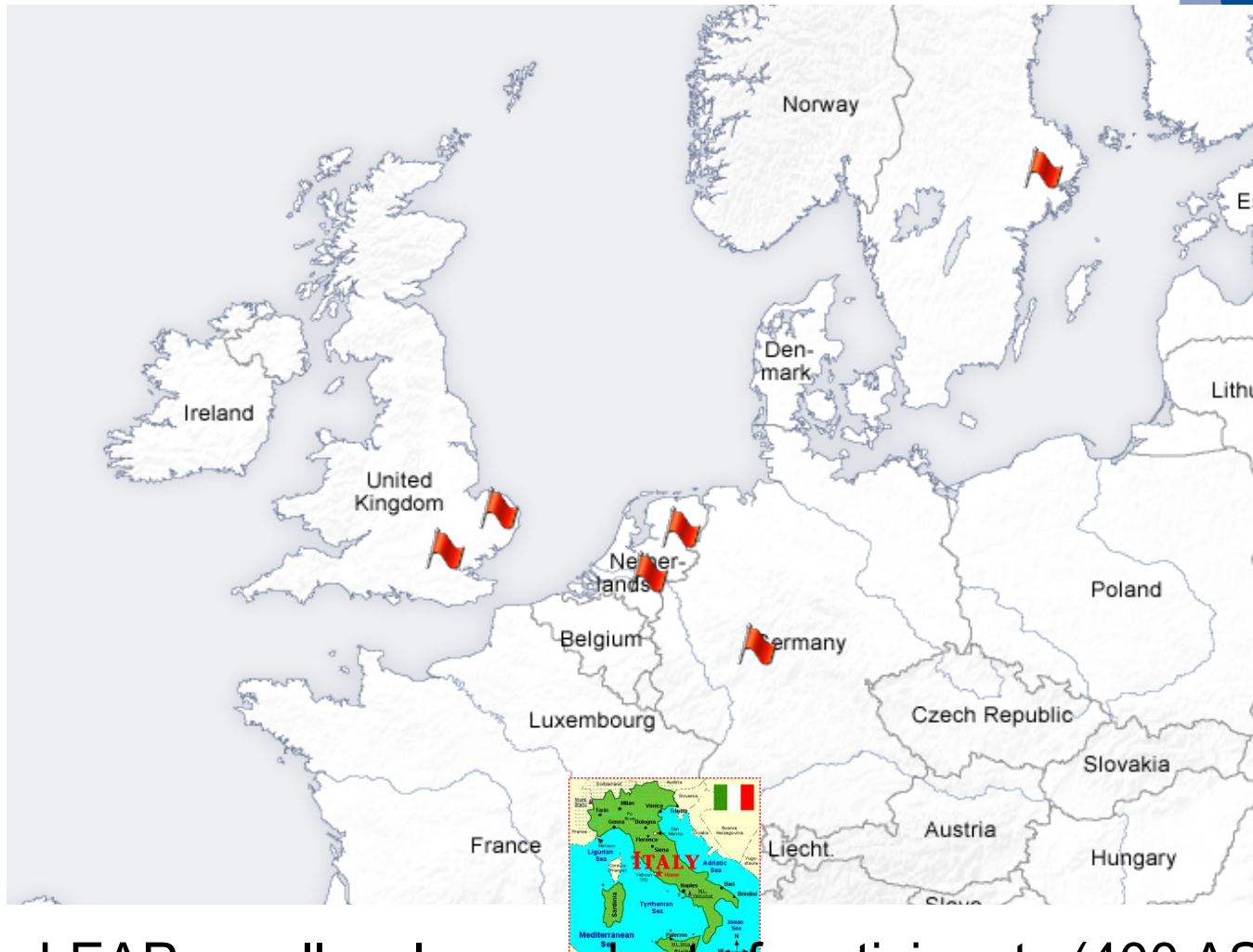


**deCODE**  
CNV carriers  
N=300,  
Control=300

**Synaptic Gene Deficit** = ~80,  
Control = 50



# Longitudinal European Autism Project

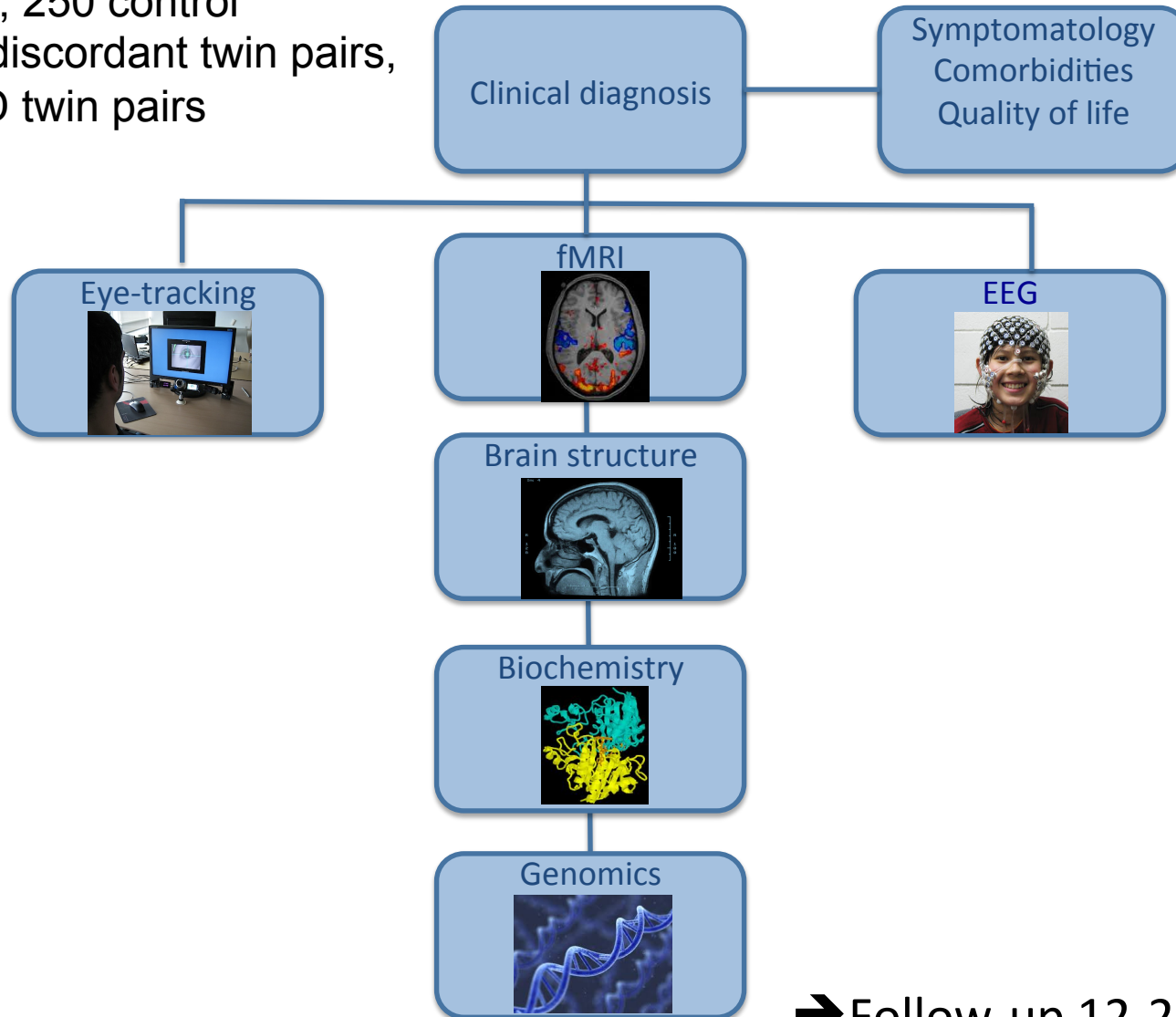


- 7 centres:
- KCL
- UCAM
- RUNMC
- UMCU
- CIMH
- UCBM
- +KI twins

LEAP enrolls a large cohort of participants (400 ASD; 250 TD) diverse in age (6-30 years) and ability levels

# Multi-modal profile of each volunteer

400 ASD, 250 control  
50 ASD discordant twin pairs,  
KI; 20 TD twin pairs



➔ Follow-up 12-24 months later





# Protocol Split in 4 schedules

|                                      | A<br>Adults<br>18-30 yrs | B<br>Adolescents<br>12-17 yrs | C<br>Children<br>6-11 yrs | D<br>mild ID<br>12-30 yrs |
|--------------------------------------|--------------------------|-------------------------------|---------------------------|---------------------------|
| Parent Interviews                    | ✓                        | ✓                             | ✓                         | ✓                         |
| Parent Questionnaires                | ✓                        | ✓                             | ✓                         | ✓                         |
| Self-report Qs                       | ✓                        | ✓                             | ✗                         | ✗                         |
| MRI                                  |                          |                               |                           |                           |
| •Structural scan                     | ✓                        | ✓                             | ✓                         | ✓                         |
| •Resting state                       | ✓                        | ✓                             | ✓                         | ✓                         |
| •DTI                                 | ✓                        | ✓                             | ✓                         | ✓                         |
| •Task-related fMRI*                  | ✓                        | ✓                             | ✓✗                        | ✓✗                        |
| Eye-tracking                         | ✓                        | ✓                             | ✓✗                        | ✓✗                        |
| Cognition                            | ✓                        | ✓                             | ✓✗                        | ✓✗                        |
| EEG (KCL, RUNMC,<br>CIMH, UMCU)      | ✓                        | ✓                             | ✓                         | ✓                         |
| Blood, saliva, urine, hair<br>sample | ✓                        | ✓                             | ✓                         | ✓                         |

## **Example of protocol in action**

← → ↻ <https://www.delosis.com/qs/index.php/survey/index> ☆ ☰



0%  100%

## Review of Systems

### Eyes

**\*Do you have significant visual loss or congenital blindness?**  
*Choose one of the following answers*

Yes  
 No  
 Not Known

**\*Do you have any other problems with your eyes?**  
*Choose one of the following answers*

Yes  
 No  
 Not Known

### Ears

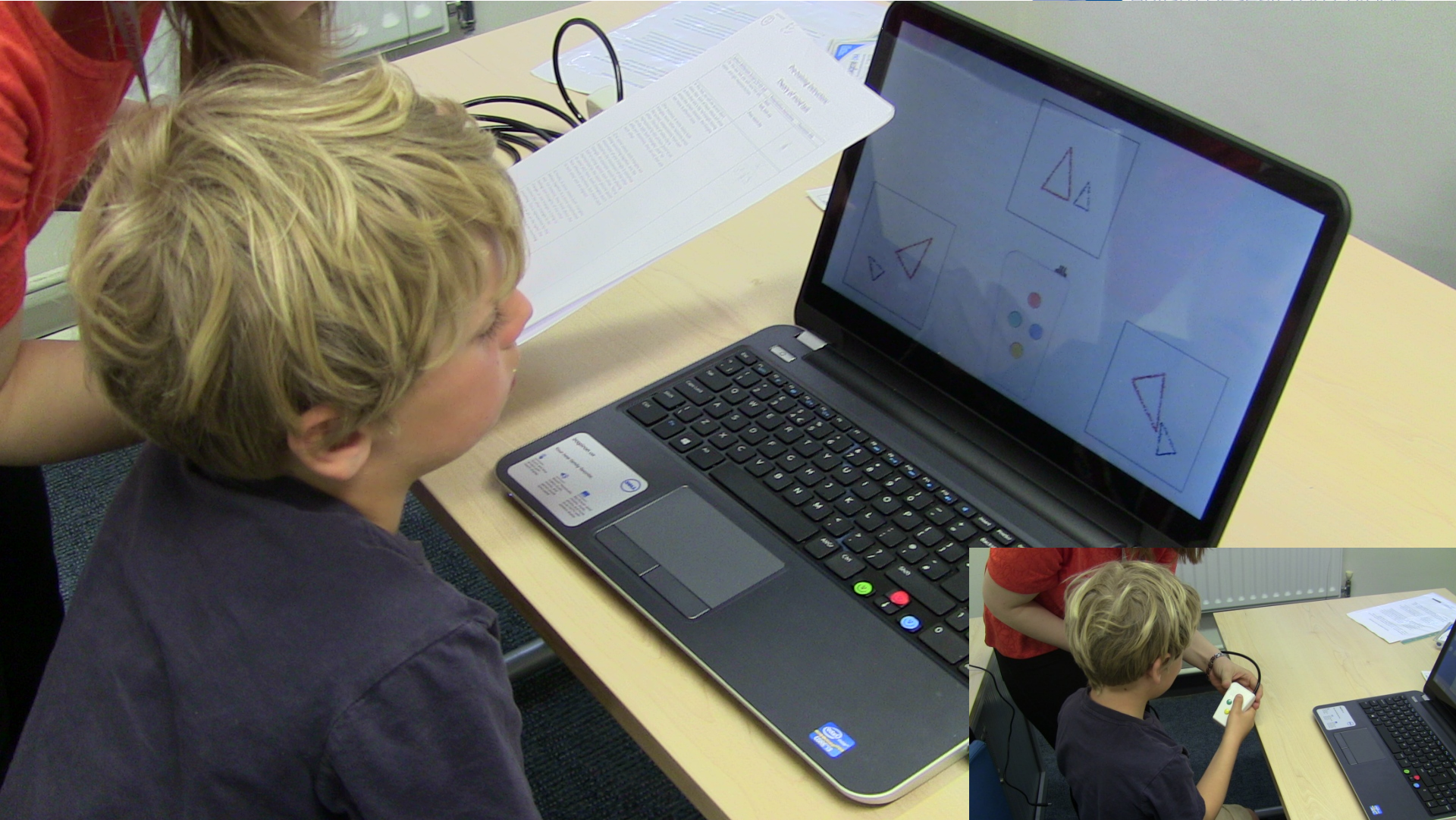
**\*Have you ever had a hearing test?**  
*Choose one of the following answers*

Yes

# Example: First visit



# fMRI task Pre-training

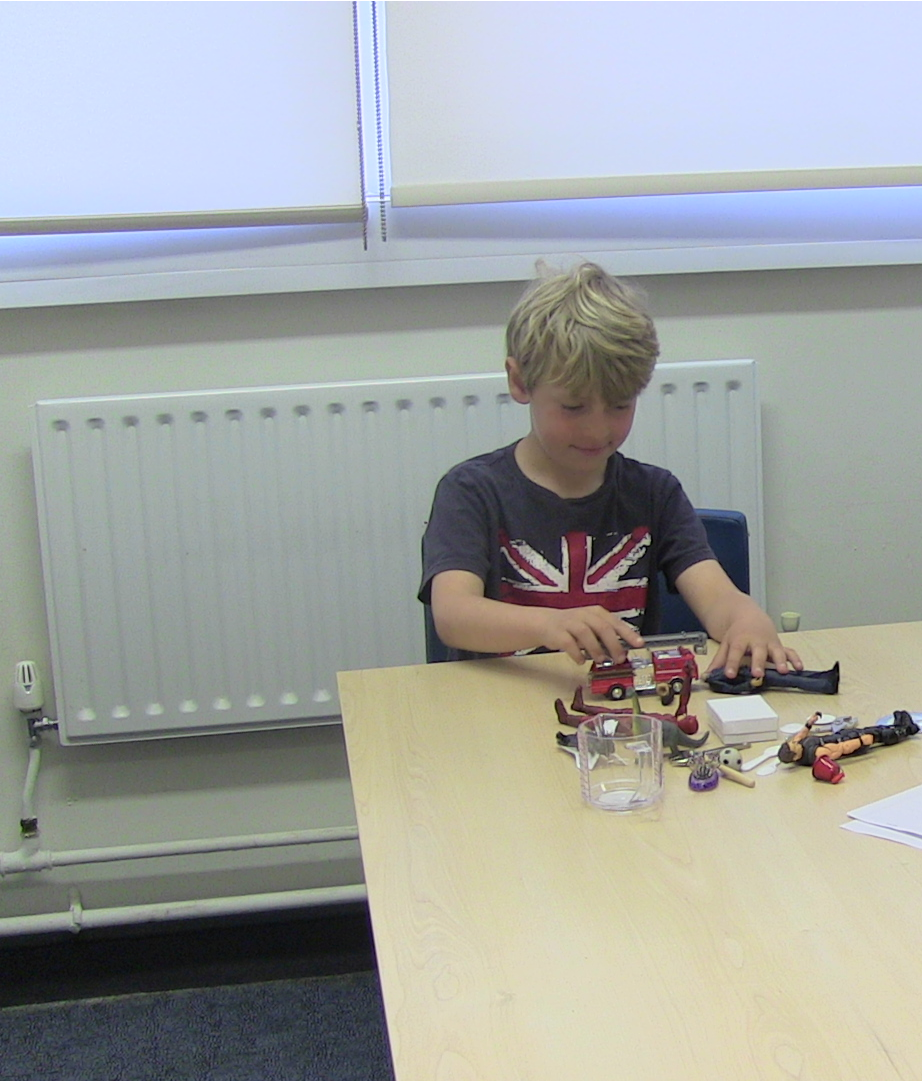


# MRI scan



# Breaks





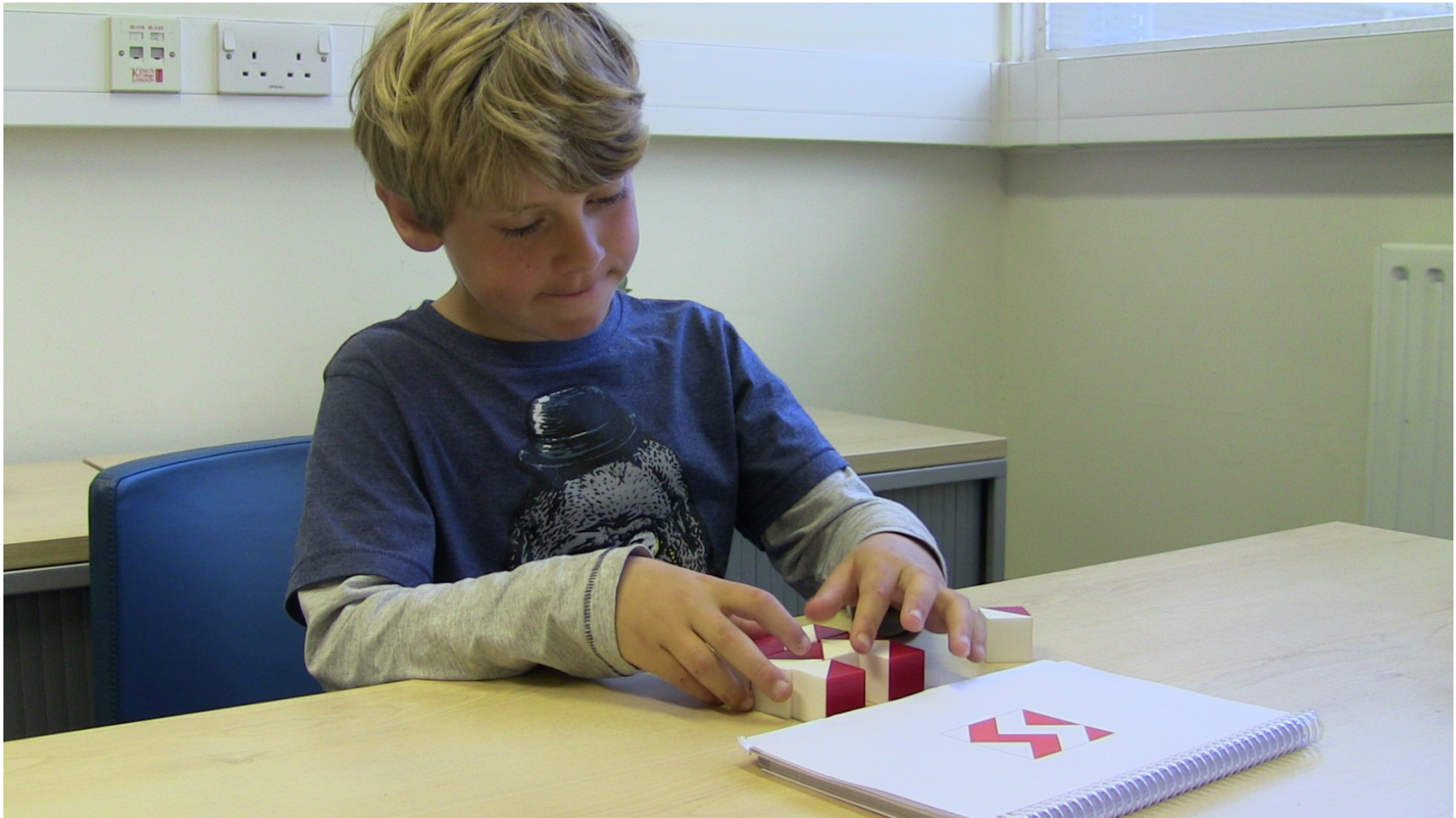


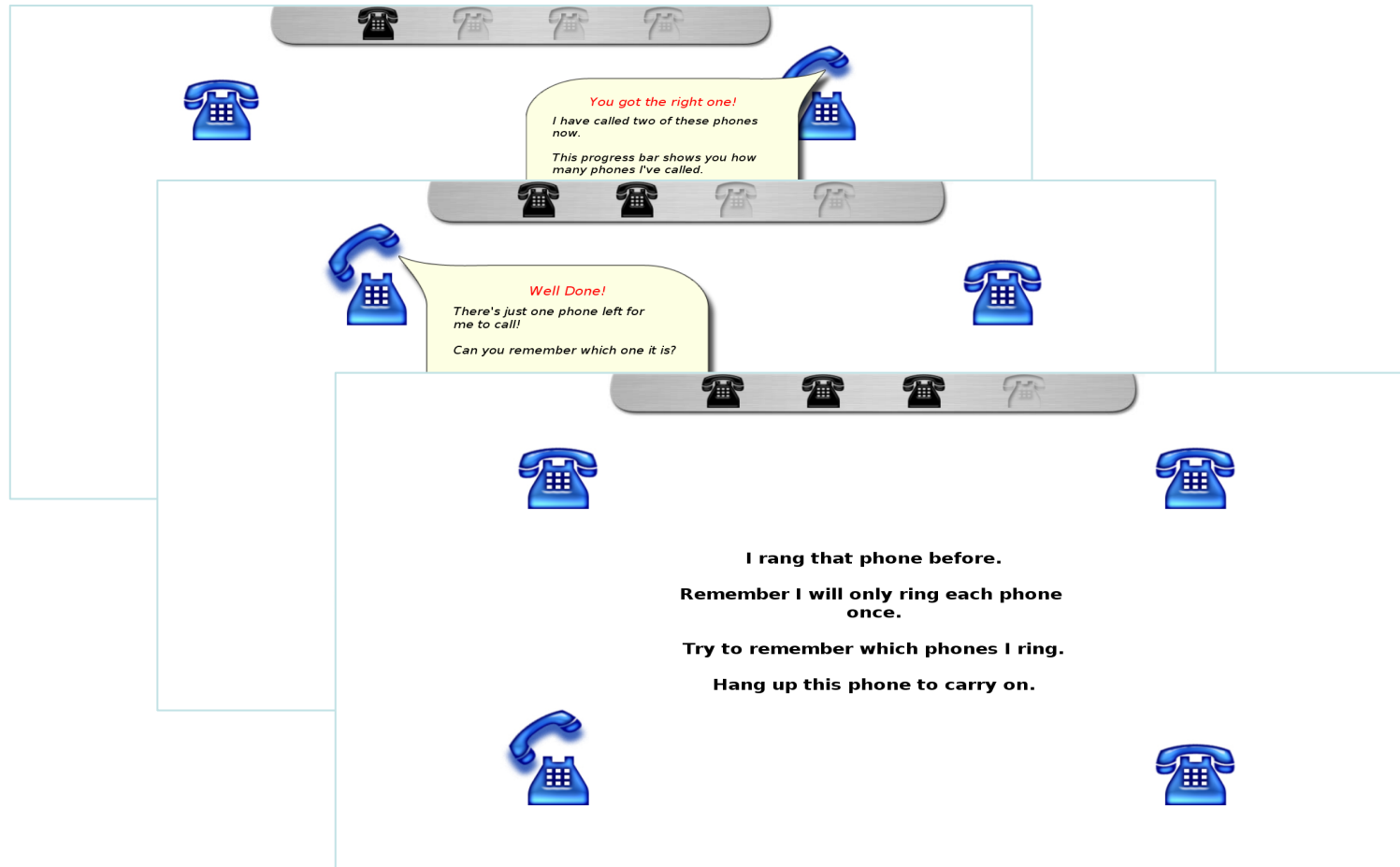
# Parents: Vineland, ADI, DAWBA



## **Family goes home or stays in hotel overnight**

# Second day: IQ testing





**You got the right one!**  
*I have called two of these phones now.  
This progress bar shows you how many phones I've called.*

**Well Done!**  
*There's just one phone left for me to call!  
Can you remember which one it is?*

**I rang that phone before.  
Remember I will only ring each phone once.  
Try to remember which phones I ring.  
Hang up this phone to carry on.**

# EEG + Eye-tracking



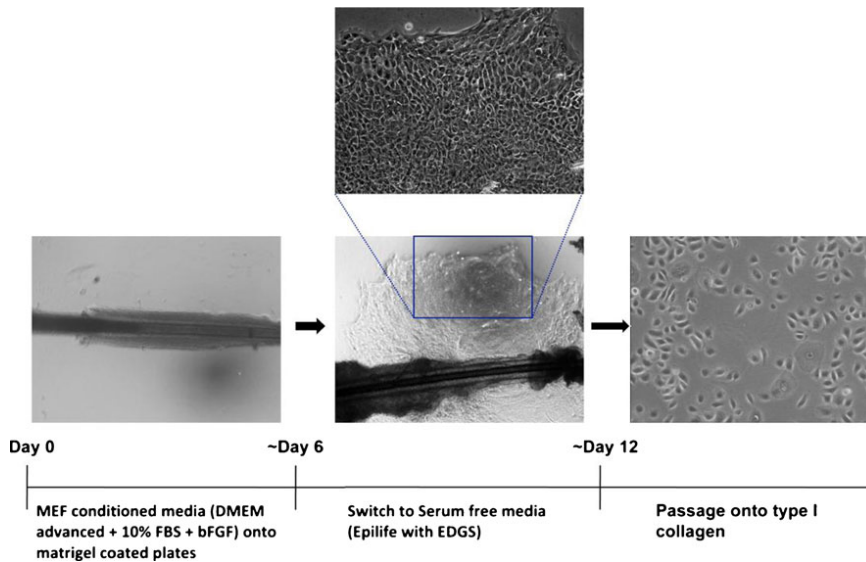
# Biological samples

Blood or saliva sample



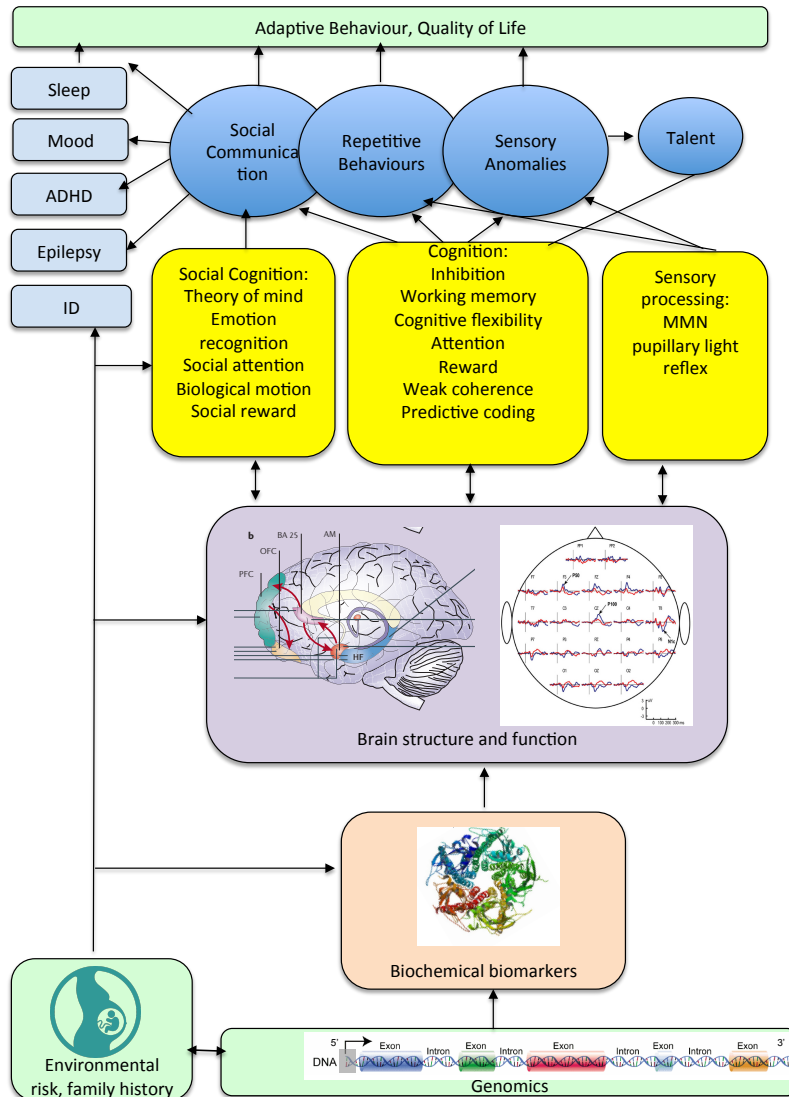
Hair biopsies (frozen for iPSC generation)

Urine sample (at home)



- **Standardization:**
    - SOPs for every assessment module
    - Training of RAs in all assessment modules
    - Translation
    - Reliability meetings
  - **Quality control procedures, MRI: standardized pre-processing**
  - **New generation of young researchers trained in multi-modal assessments (and analyses)**
  - **Study carried out at ICH GCP level**
-

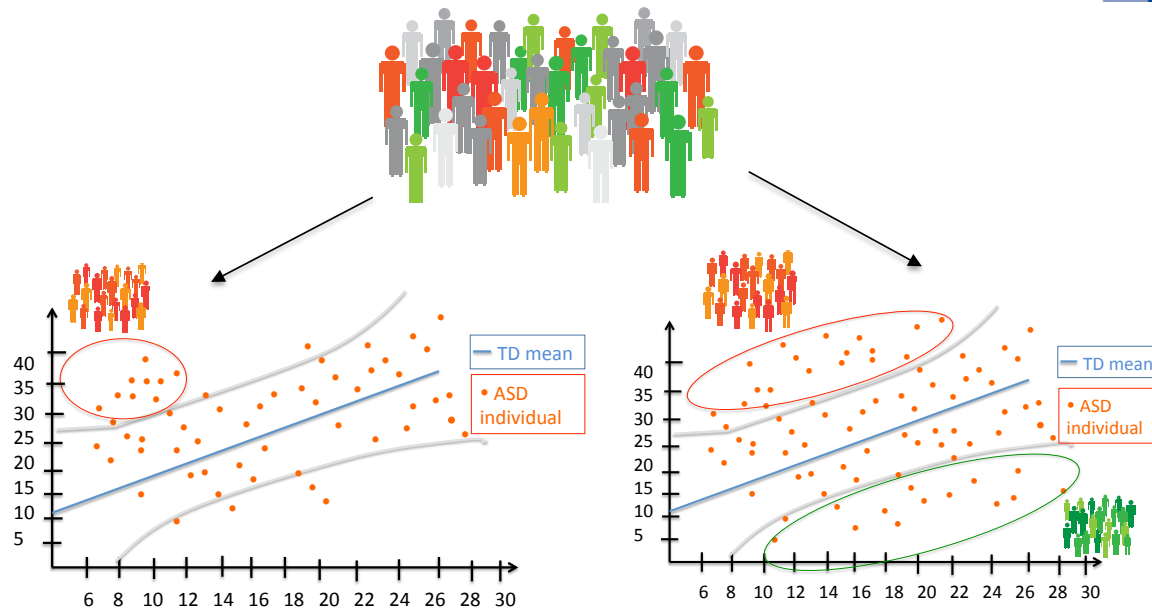
# Biomarker approaches



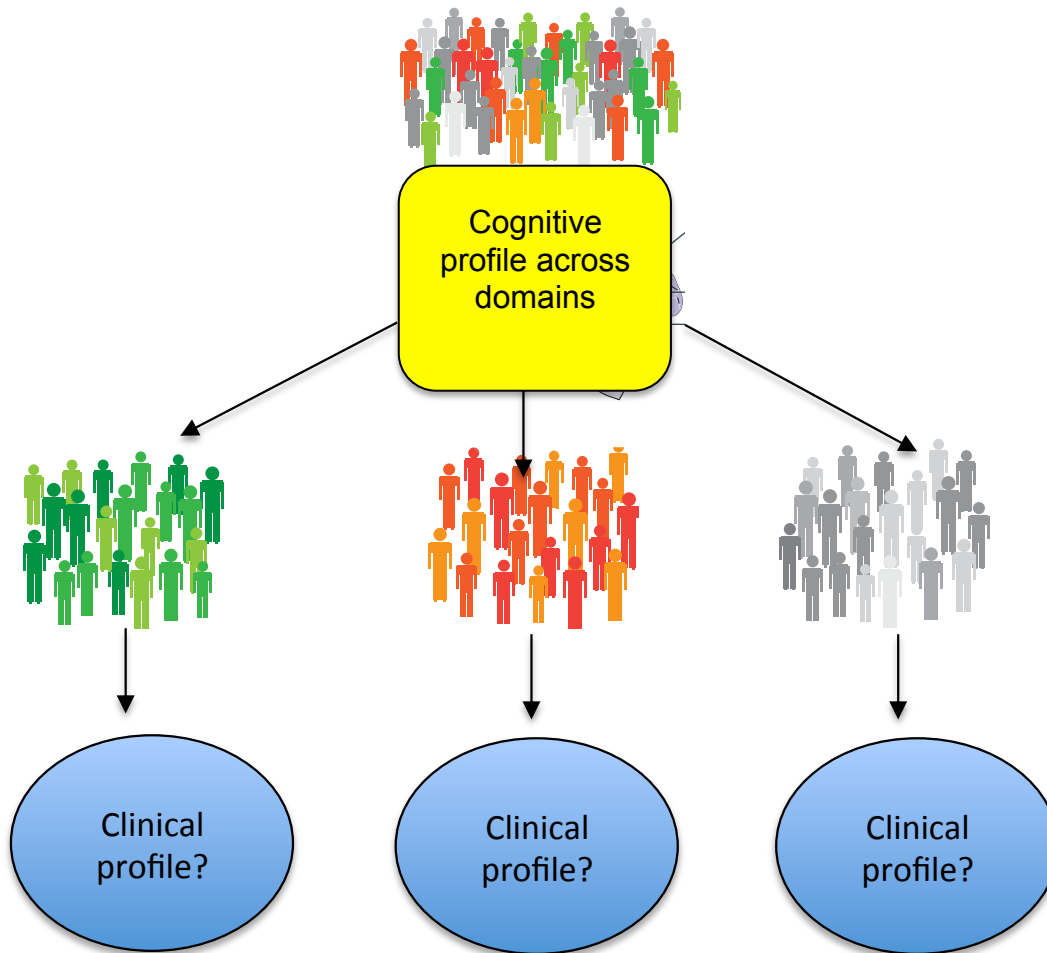


- **Sex differences in normal development**
  - Many aspects of (social) cognition
  - Brain development and function
  - Gene expression
- **Sex differences in ASD**
  - Cognitive profile (Lai et al., 2011, *PLOS1*)
  - Brain function (Lai et al., 2013, *Biol Psychiatry*)
- **Over-recruitment of females:**
  - male: female ratio: 3:1

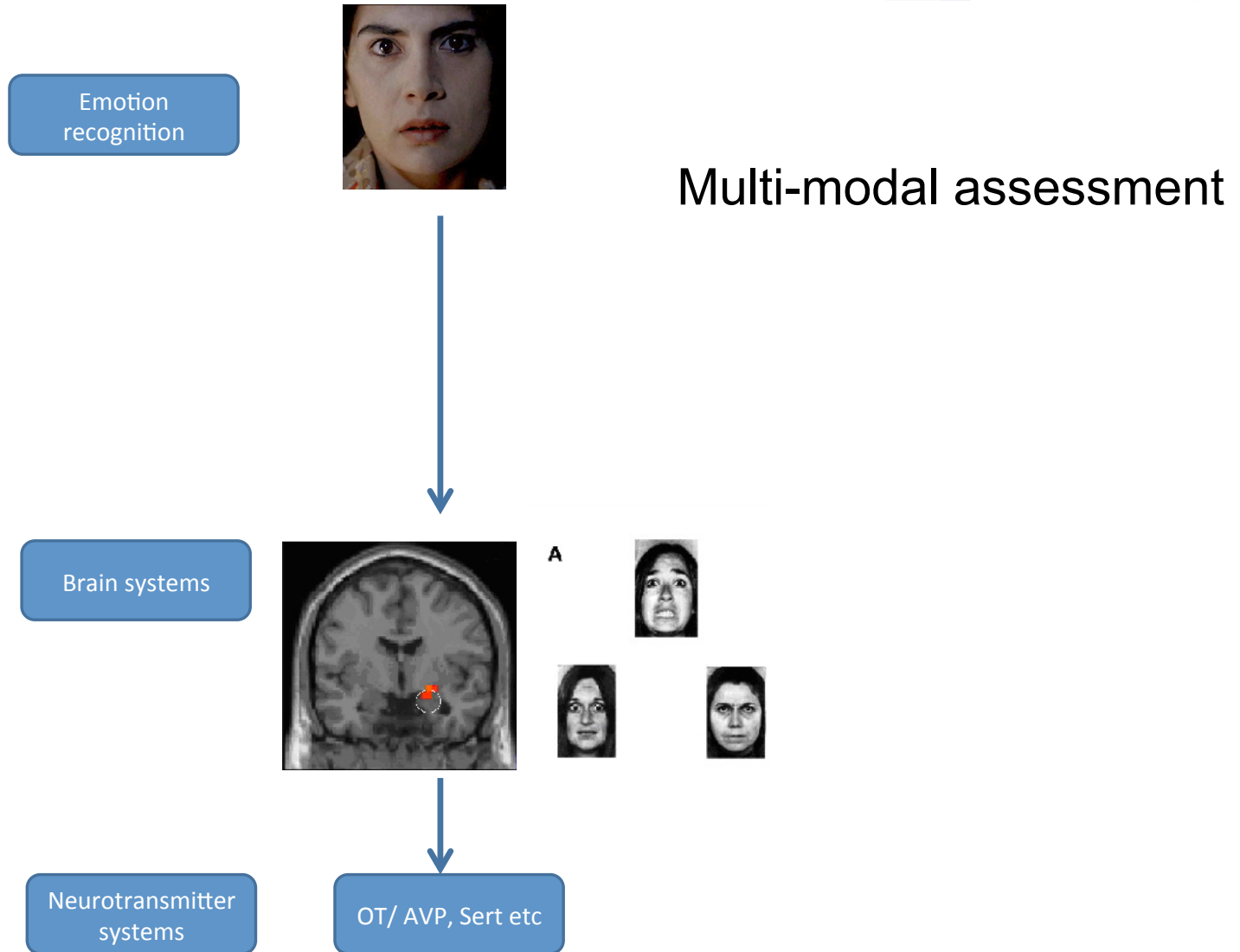


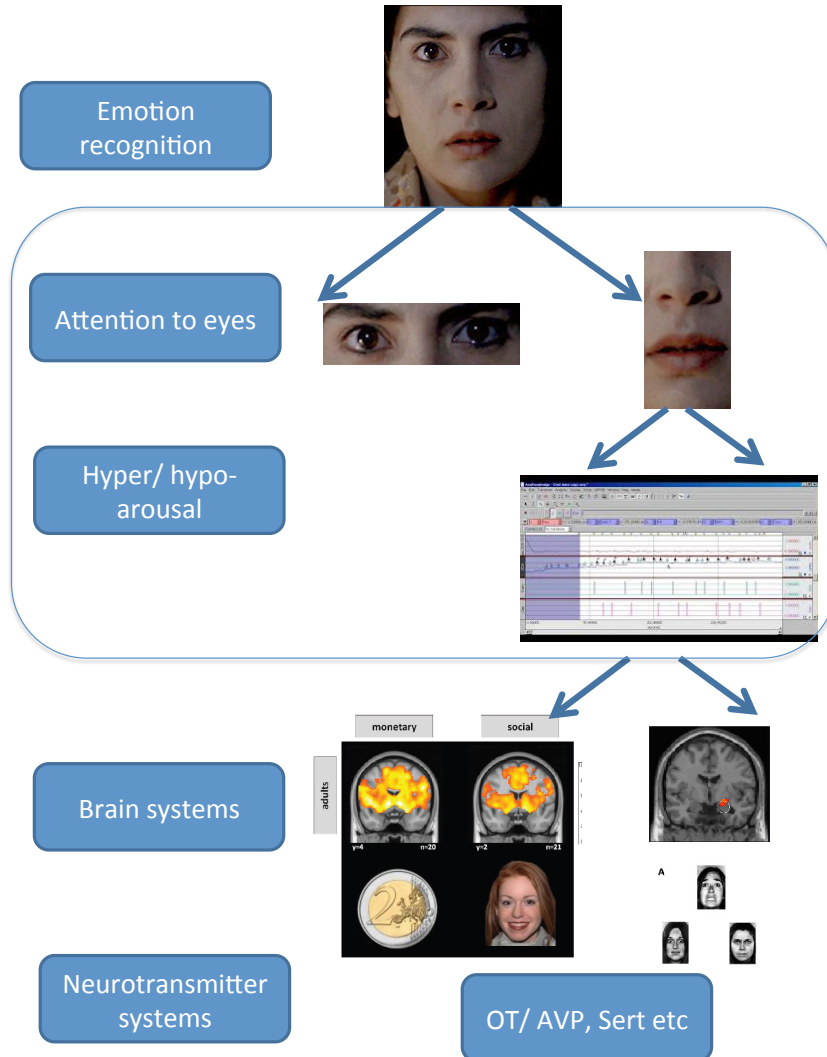


- Derive trajectory for typical development from cross-sectional data
- Situate each person with ASD on the TD trajectory
- Construct a trajectory for the whole disorder group and compare to TD group
- Some biomarkers may only be detectable at certain developmental stages



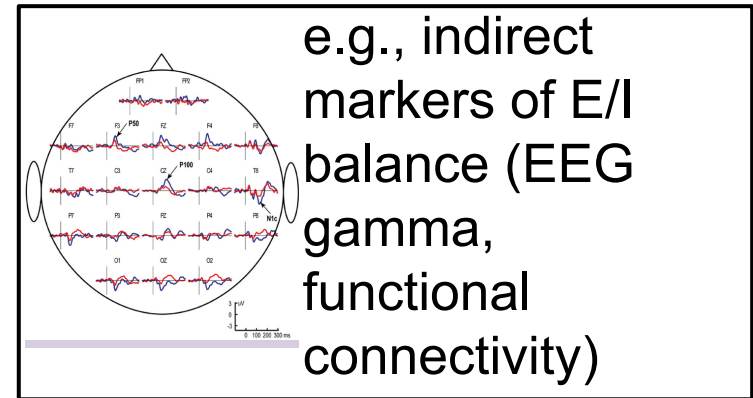
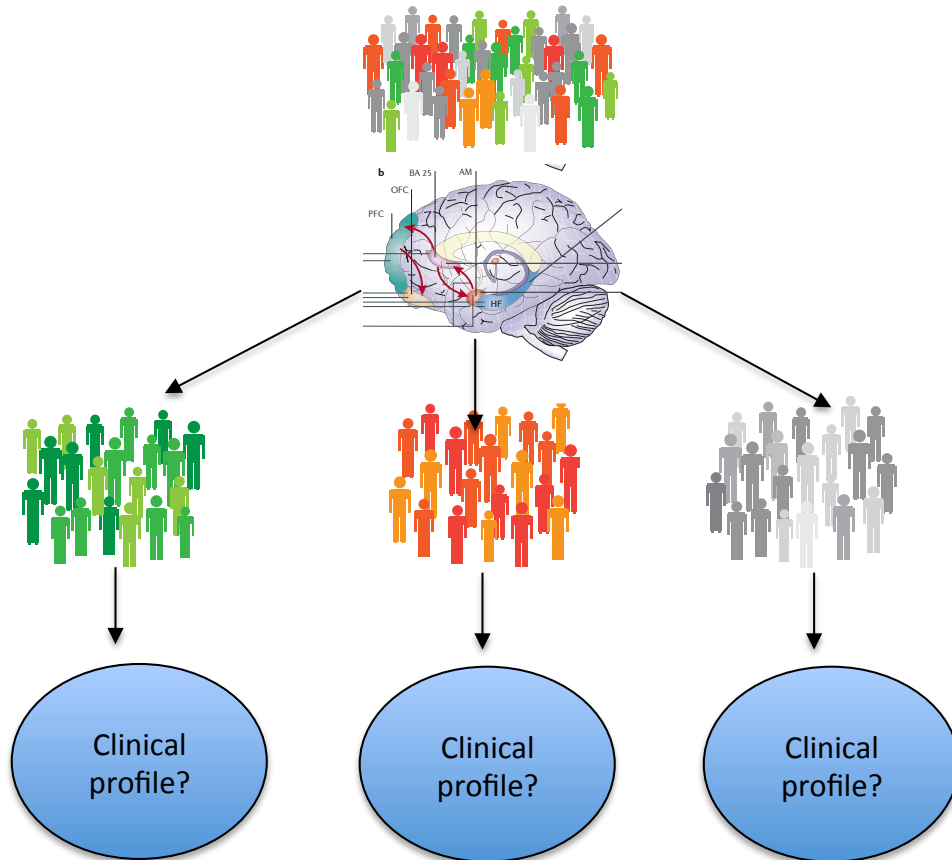
Create a profile of cognitive strengths and weaknesses across domains to predict clinical outcome e.g., EF (deficits) as aggravating vs. compensatory factors





Shared behavioural deficit may result from different causes that may warrant different treatments.

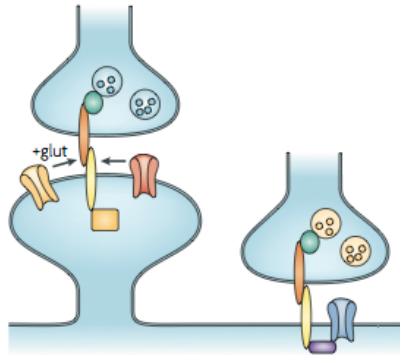
# Stratify by 'intermediate phenotypes'



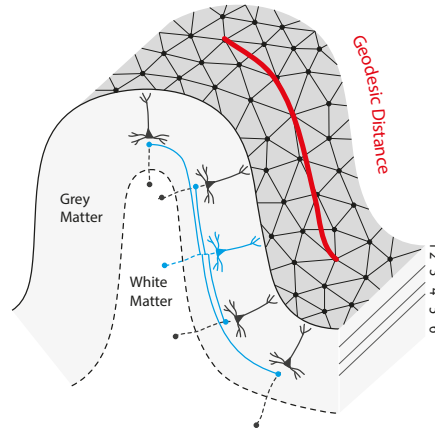
Eye-tracking/ EEG/ fMRI also as potential surrogate outcome measure indicative of the mechanism underlying treatment effect

# Novel 'Translatable' Imaging Measures

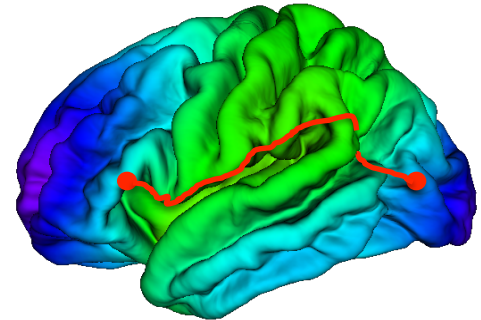
a Neurexin/neuroligin



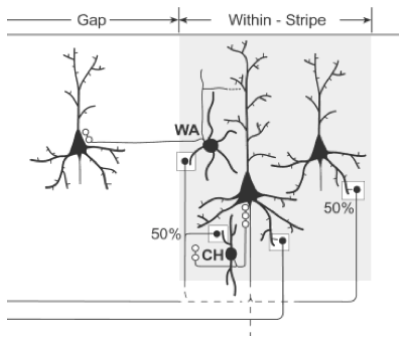
Dalva et al. 2007



Intrinsic 'Wiring Costs'

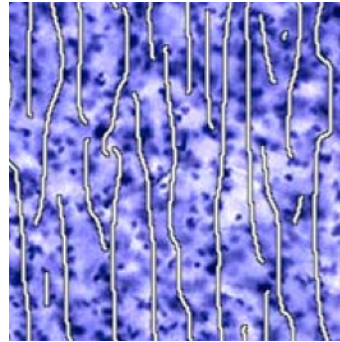


I/E (Im)balance in ASD



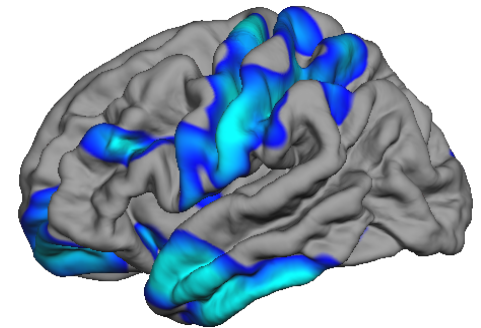
Melchitzky et al. 2001

Minicolumn-Pathology in ASD



Casanova et al. 2006

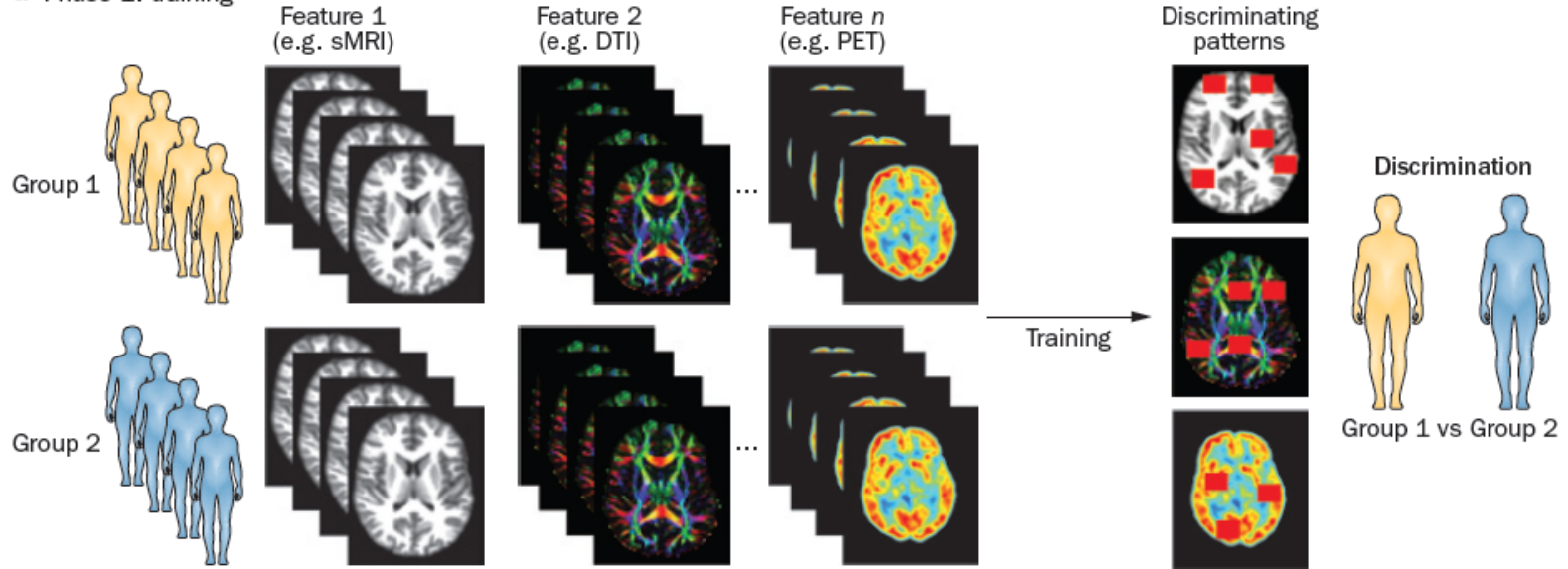
ASD < Controls



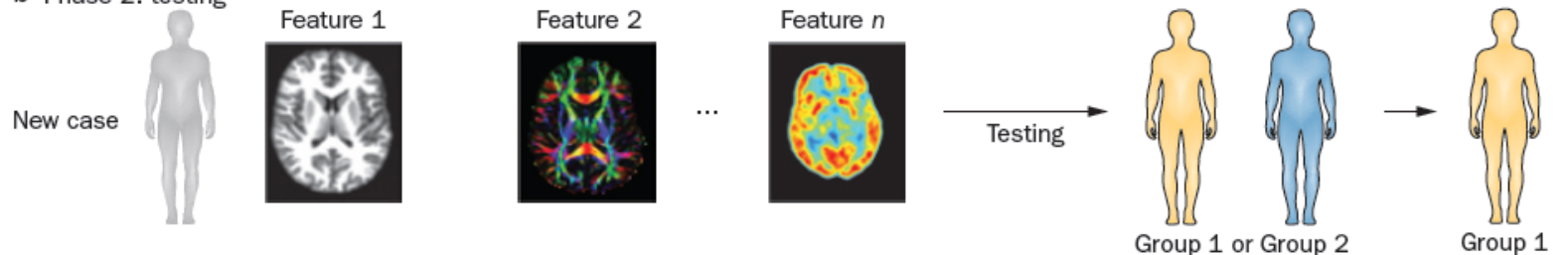
Ecker et al. (2013) PNAS

# Multivariate pattern classification

## a Phase 1: training

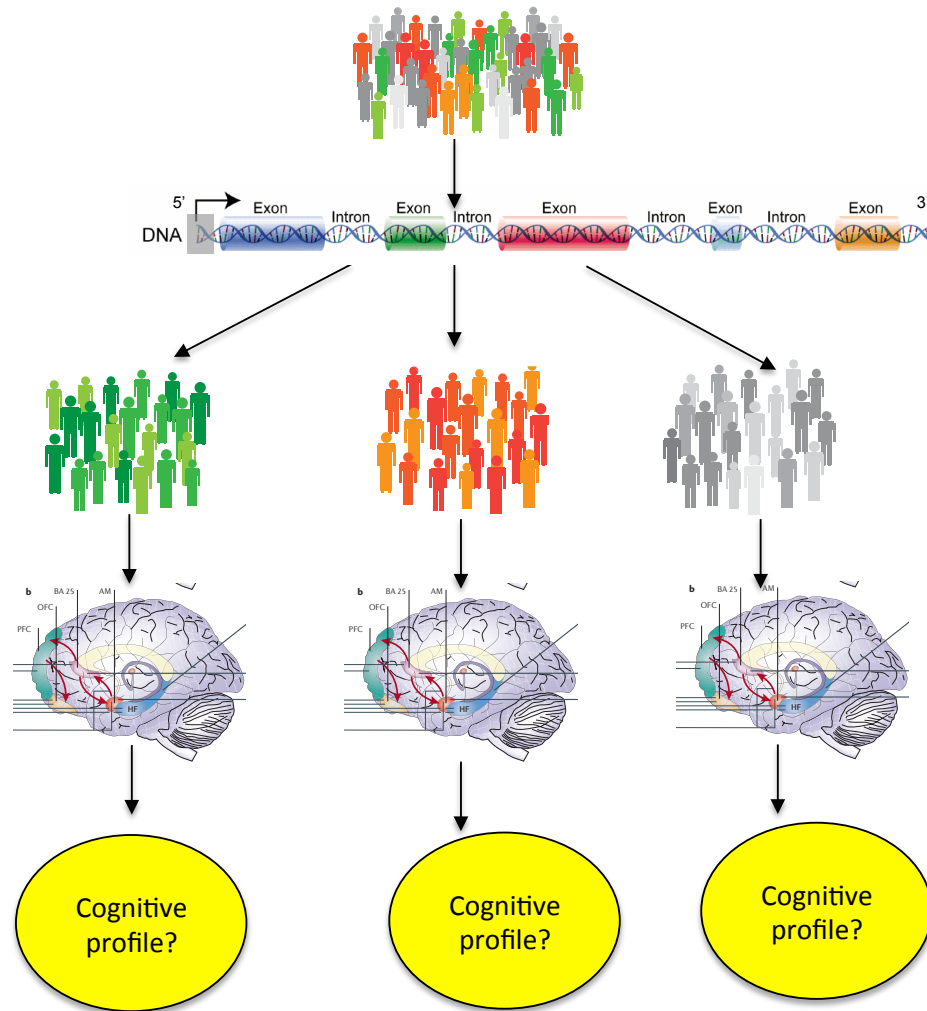


## b Phase 2: testing

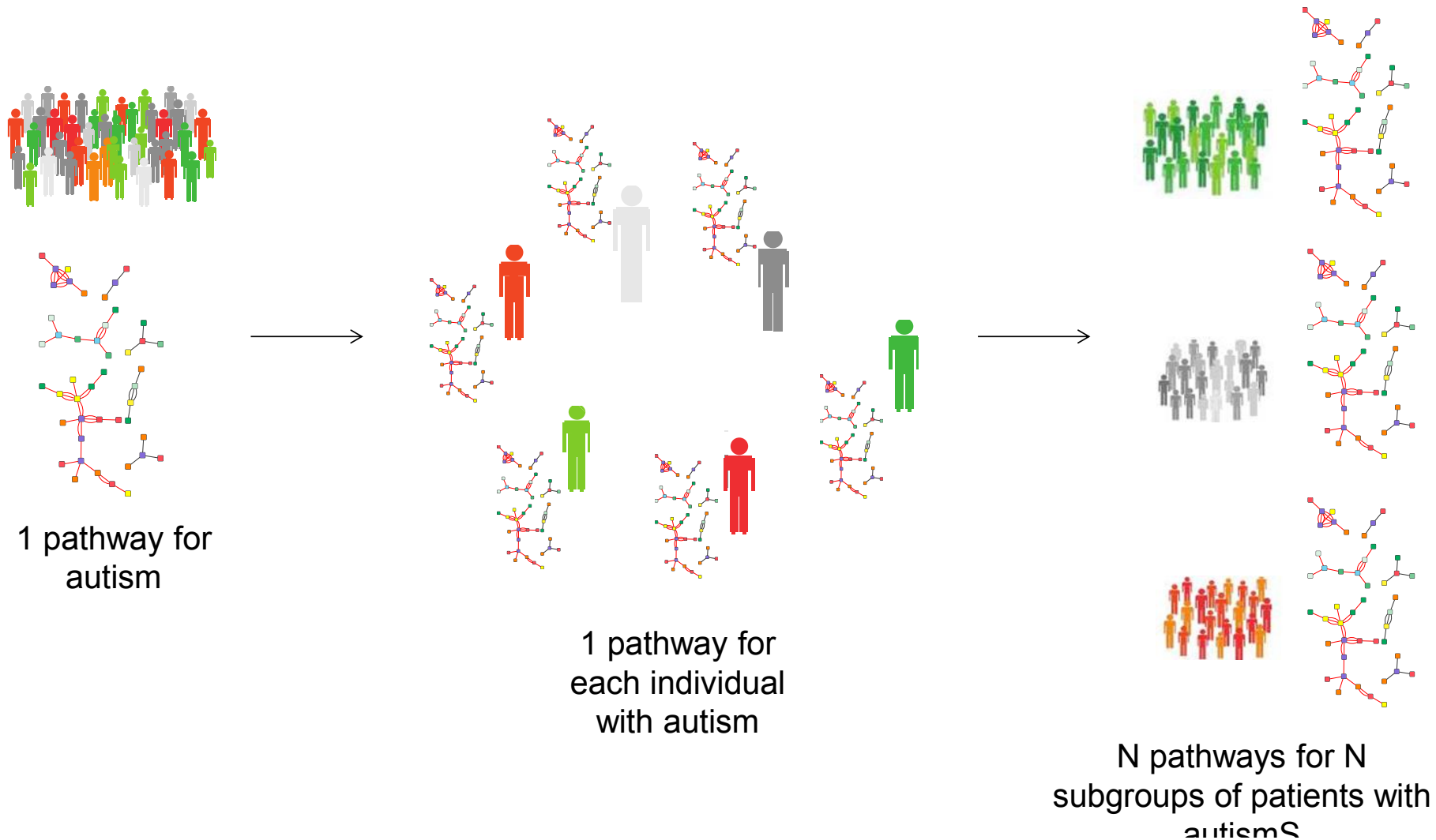




# Genetically-driven molecular subgroup



# Genetically driven molecular subgroups



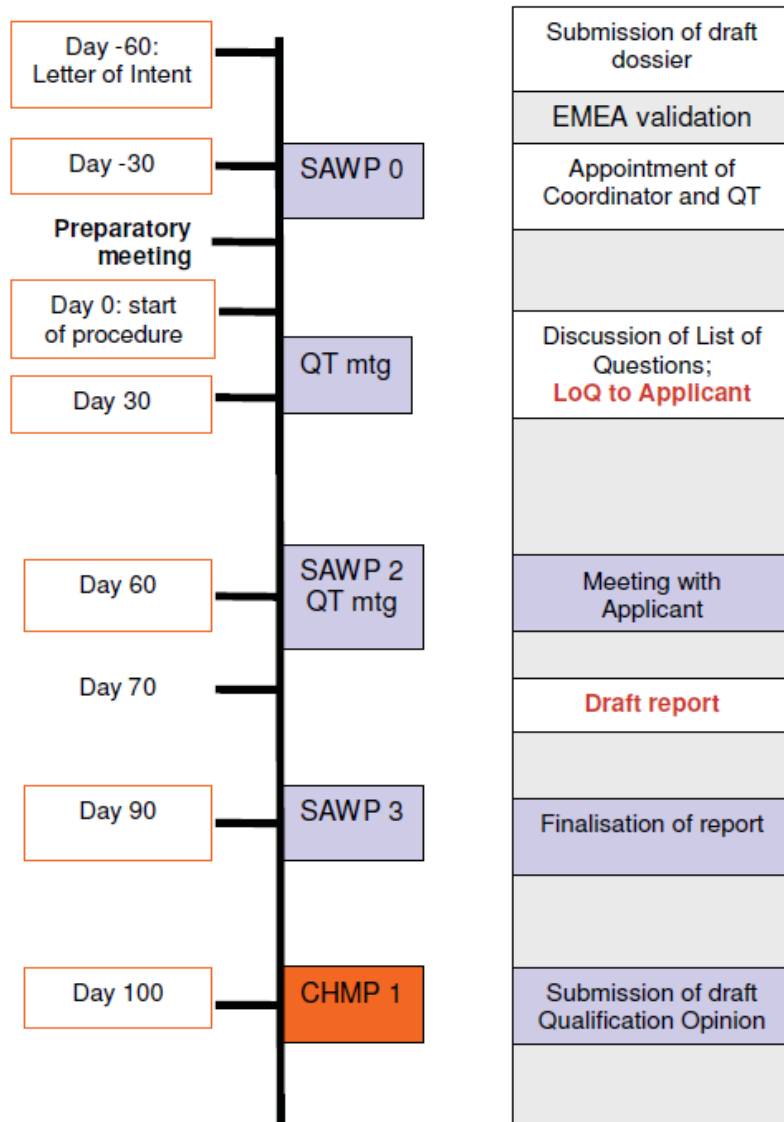
Longitudinal reassessment after ~18 months (12- 24 months) to ascertain how cognitive or biological biomarkers change over time

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# Qualification Advice from EMA



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH





EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

- EMA broadly endorsed population selection criteria, biomarker approaches and methodologies
- Key recommendations:
- Need to establish sensitivity and specificity across all biomarker modalities
- Need to define cut-offs for stratification biomarkers
- Large number of endpoints/ analyses recognized: Replication will be required, particularly to validate biomarkers as surrogate end points.

# EU-AIMS international collaborations



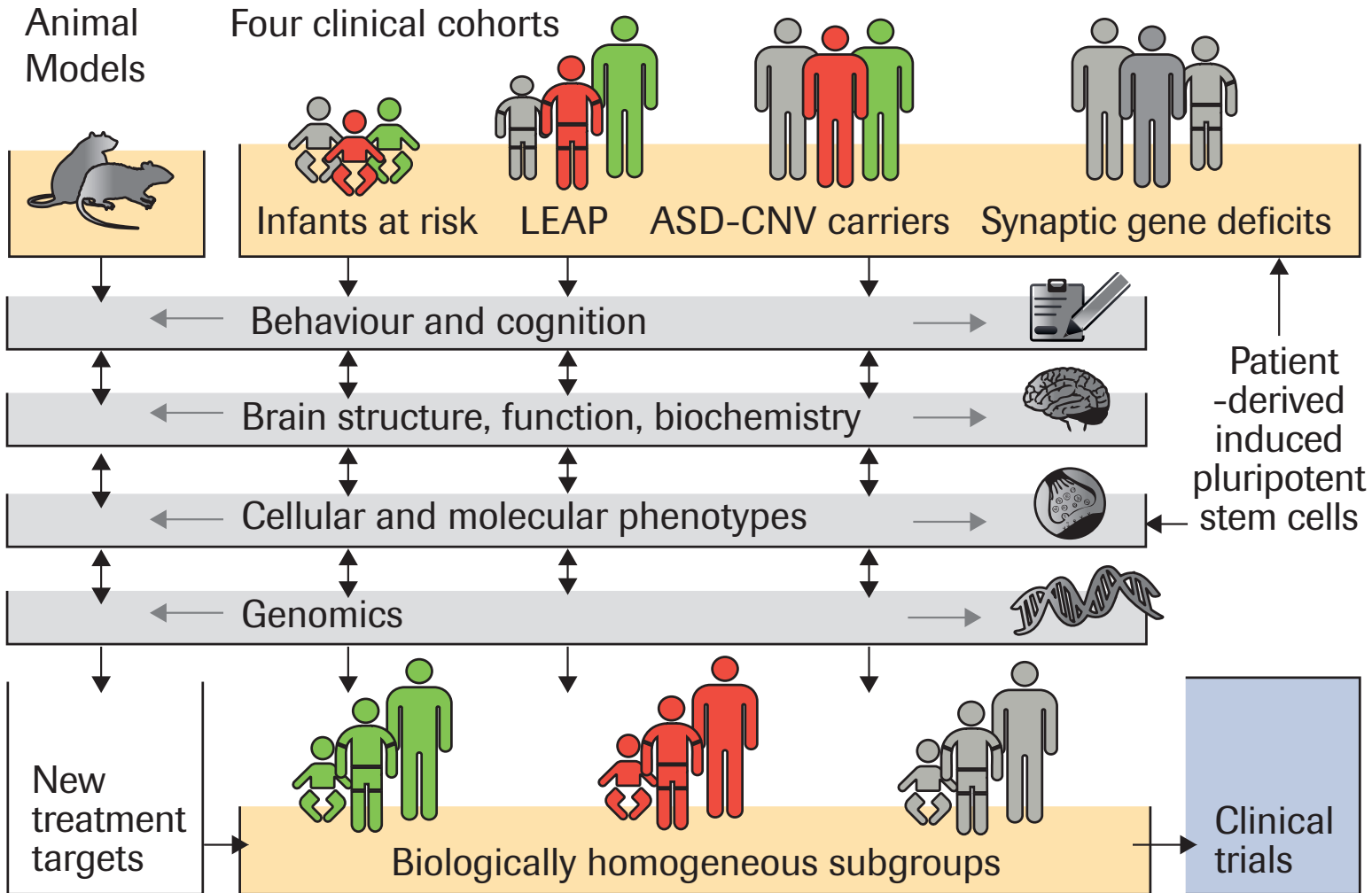
Sharing protocols, SOPs, data-sharing agreements for pooling and replication

# So, we need biomarkers

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- To guide clinical diagnosis
  - Stratify people according to ‘biology’
  - Evaluate prognosis
  - When validated/ qualified, aid in population selection for clinical trials
  - Assess effect of treatment/ intervention on symptom progression
  - EU-AIMS – EMA collaboration: Important step towards a shared understanding of biomarker criteria between academia, industry, regulators.
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# Summary





# Thank you!

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**KCL:** Declan Murphy, Tony Charman, Emily Simonoff, Steve Williams, Hannah Hayward, Daisy Crawley, Antonia San Jose Caceres, Jess Faulkner

**BBK:** Mark Johnson, Luke Mason, Emily Jones

**UCAM:** Simon Baron-Cohen, Rosie Holt, Jack Waldman, Meng-Chuan Lai

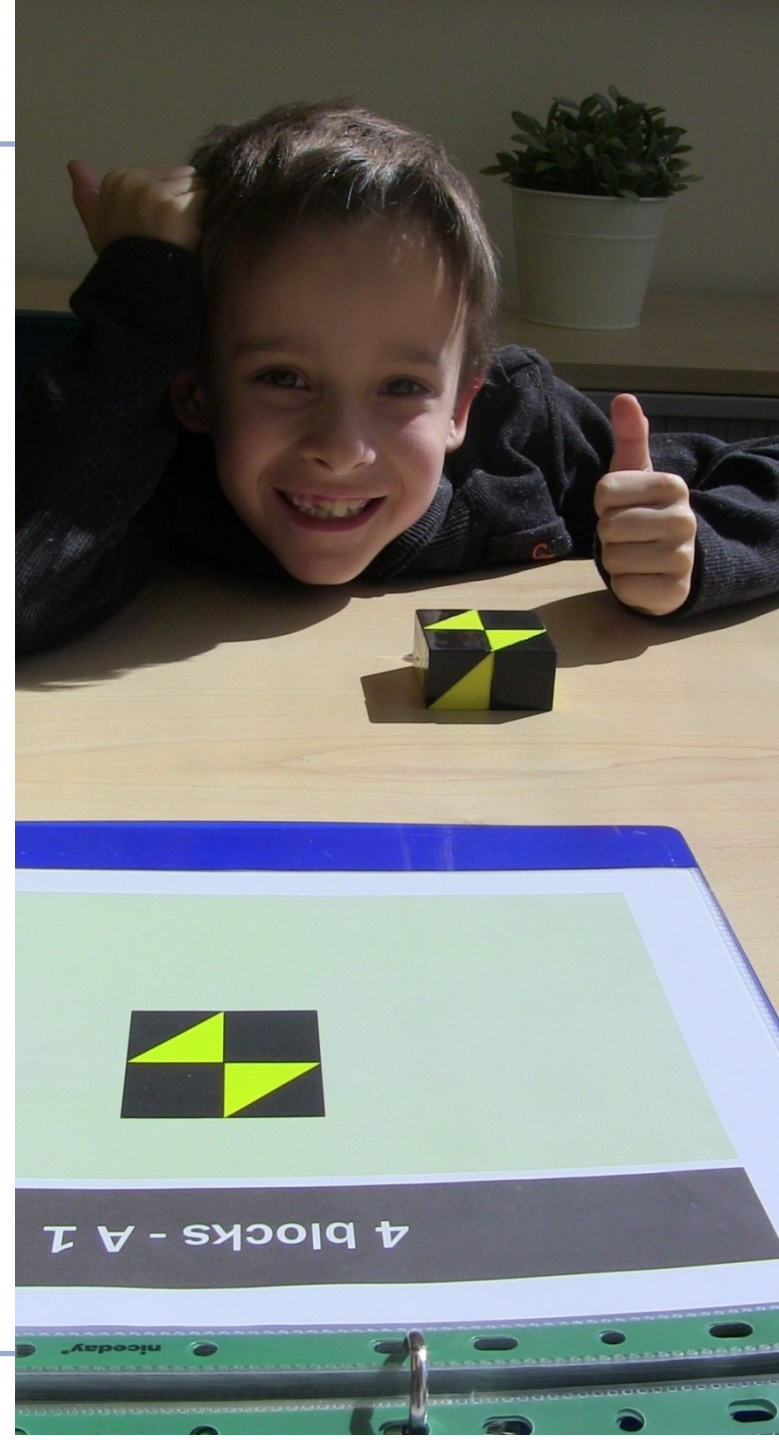
**RUNMC:** Jan Buitelaar, Larry O'Dwyer

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**CIMH:** Tobias Banaschewski, Luise Poustka, Niko Mueller, Sarah Baumeister

**KI:** Sven Boelte, Ela, Elodie Cauvet

**UCMB:** Tony Persico, Roberto Sacco



# Thank you!



## Aim: to facilitate future (transnational) clinical trials

78 sites from 37 countries



🚩 May indicate multiple sites in the same city/area

- Currently 78 partners from EU-AIMS/ COST/ ECNP and new centres
- Goal: to collect information about ASD patient cohorts and assessment methods
- On-line survey, 50 partners responded
- Next: collaborative platform for data-sharing